

# Multiscale Entropy Analysis of EEG for Assessment of Post-Cardiac Arrest Neurological Recovery Under Hypothermia in Rats

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**Abstract**—Neurological complications after cardiac arrest (CA) can be fatal. Although hypothermia has been shown to be beneficial, understanding the mechanism and establishing neurological outcomes remains challenging because effects of CA and hypothermia are not well characterized. This paper aims to analyze EEG (and the  $\alpha$ -rhythms) using *multiscale entropy* (MSE) to demonstrate the ability of MSE in tracking changes due to hypothermia and compare MSE during early recovery with long-term neurological examinations. Ten Wistar rats, upon post-CA resuscitation, were randomly subjected to hypothermia (32 °C–34 °C,  $N = 5$ ) or normothermia (36.5 °C–37.5 °C,  $N = 5$ ). EEG was recorded and analyzed using MSE during seven recovery phases for each experiment: baseline, CA, and five early recovery phases (R1–R5). Postresuscitation neurological examination was performed at 6, 24, 48, and 72 h to obtain *neurological deficit scores* (NDSs). Results showed MSE to be a sensitive marker of changes in  $\alpha$ -rhythms. Significant difference ( $p < 0.05$ ) was found between the MSE for two groups during recovery, suggesting that MSE can successfully reflect temperature modulation. A comparison of short-term MSE and long-term NDS suggested that MSE could be used for predicting favorability of long-term outcome. These experiments point to the role of cortical rhythms in reporting early neurological response to ischemia and therapeutic hypothermia.

**Index Terms**—Cardiac arrest (CA), entropy, neurological injury, quantitative EEG.

## I. INTRODUCTION

CARDIAC arrest (CA) is the leading cause of deaths in the United States [1]. In United States, about 460 000 sudden cardiac deaths were reported in 1999 [2]. The survival rate after CA is generally low: only 2%–9% following out-of-hospital CA [3]. Poor functional outcomes, such as coma or persistent vegetative state, are prevalent among survivors, with only 3%–7% survivors resuming normal functioning [4]. Devastating neurological complications induced by CA and early

reperfusion are recognized as the main causes of short-term and long-term mortality and morbidity [5]. While various neuroprotective strategies have failed to improve the outcome statistics for CA [6]–[10], the neuroprotective effect of mild hypothermia was confirmed in animal models of global ischemia [11], [12] and human clinical trials [13], [14]. In 2005, the International Liaison Committee on Resuscitation and the American Heart Association recommended the use of therapeutic hypothermia in comatose survivors from CA [15]. Yet, therapeutic hypothermia is still underutilized, partly because there is no established method to track and verify the benefits of hypothermia during post-CA recovery [16].

Recent studies have suggested that different brain regions have different sensitivity to hypothermia [11], [17]. Previous pathological studies revealed that hypoxic-ischemic insult predominantly affects the cerebral cortex, basal ganglia, thalamus, hippocampus, and brain stem. The thalamus plays an important role in regulating states of arousal and the level of awareness. Damage to the thalamus may lead to permanent coma [18]. However, there is no definite conclusion about the effects of hypothermia on the thalamus [17], [19]. Therefore, we are interested in investigating the effect of therapeutic hypothermia on the thalamus, as well as the relationship between the status of the thalamus and post-CA recovery outcomes. Recent research reported in several animal models and human clinical trials suggests that the  $\alpha$ -rhythm is strongly influenced by the thalamus [20], [21]. The thalamic lesions can lead to pronounced disorganization or even complete suppression of  $\alpha$ -rhythms [22], [23]. Therefore, we may infer the evidence of thalamic lesions through the monitoring and analysis of  $\alpha$ -rhythms.

EEG is a noninvasive global measure of electrical activity in the brain, and is commonly employed for neuromonitoring [24]. It is influenced by various interacting mechanisms in the brain. Living organs, including the brain, can be seen as a system with high complexity, allowing for adaptive responses to a broad range of stimuli [25]. A reduction in complexity is often interpreted as an unhealthy state for a biological system [26]–[28]. Given that the  $\alpha$ -rhythm (a pattern of 8–12 Hz oscillations in EEG) is attributed to synchronous activity in thalamic pacemaker cells [18], the changes in complexity of  $\alpha$ -rhythms may reveal different degrees of function. These changes in complexity can be tracked using an appropriate entropy analysis.

Our first objective is to analyze the changes in the complexity of EEG (and the component  $\alpha$ -rhythms) before, during, and

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after CA using MSE; our second objective is to demonstrate the ability of MSE in tracking changes in EEG due to temperature modulation (normothermia and hypothermia); our third objective is to compare MSE during early recovery (within 3 h) with long-term (72 h) NDSs to examine the relationship between these two numerical measures.

## II. MATERIALS AND EXPERIMENTS

Ten male Wistar rats ( $325 \pm 25$  g, Charles River, Wilmington, MA) were used in our experiments. The animals were divided into two groups of five each. All rats in both groups were subjected to asphyxia-induced CA for 7 min, resuscitated, and subjected to therapeutic hypothermia ( $32^\circ\text{C}$ – $34^\circ\text{C}$ ) or normothermia ( $36.5^\circ\text{C}$ – $37.5^\circ\text{C}$ ). The protocol described shortly [41], [42] was approved by the Institutional Animal Care and Use Committee of the Johns Hopkins Medical Institutions.

### A. Globally Ischemic Rat Model of CA

Rats were ventilated with 1.5% halothane and  $\text{N}_2/\text{O}_2$  (1:1). The femoral artery and vein were cannulated for sampling arterial blood gas (ABG) and monitoring arterial blood pressure. EEG was recorded for the first 5 min as baseline (BL) with halothane and the following 5 min without halothane to wash out the possible residual effects of halothane on EEG [42]. Seven minute CA was initiated with cessation of mechanical ventilation. The cardiopulmonary resuscitation (CPR) was performed by chest compression until return of spontaneous circulation (ROSC), which was defined as mean arterial blood pressure (MABP) higher than 60 mmHg [41]–[46]. During the experiments, ABG was sampled during BL, 10, 20, and 40 min after resuscitation.

### B. Temperature Modulation Immediately After ROSC

Core temperature of the animals was monitored by an intraperitoneal sensor (G2 E-mitter 870-0010-01, Mini Mitter, Sun River, OR) implanted one week before experiments [41]–[46]. Therapeutic hypothermia ( $32^\circ\text{C}$ – $34^\circ\text{C}$ ) was induced immediately after ROSC through surface cooling with misted water in hypothermia group. The temperature transition duration was approximately 16 min. Therapeutic hypothermia was maintained for 6 h, and then, the rats were rewarmed to  $37^\circ\text{C}$  over another 2 h [41]–[46]. In the normothermia group, normothermia ( $36.5^\circ\text{C}$ – $37.5^\circ\text{C}$ ) was maintained for 8 h after ROSC. All rats in two groups were kept inside a neonatal incubator (Isolette infant incubator model C-86, Air-Shields, Hatboro, PA) for the first day after temperature modulation in case of temperature fluctuation.

### C. EEG Recording

Two channels of EEG using epidural screw electrodes (Plastics One, Roanoke, VA) were recorded continuously for 3 h from the beginning of the experiments in the right and left parietal areas of the rats. The sampling rate was 250 Hz and the cutoff frequency was 30 Hz for low-pass filter. Serial 30-min EEG recordings were conducted at 6, 24, 48, and 72 h for all rats.

### D. Neurological Evaluation

NDS was evaluated at 6, 24, 48, and 72 h for all rats in order to test post-CA functional recovery, such as the level of arousal, respiration, brain-stem function, and motor behavior [41]. The NDS, similar to normal procedures for human neurological examination, is established for functional recovery examination on animal models. NDS ranges from 0 (worst outcome) to 80 (best outcome). The evaluation was performed by an independent trained observer blind to the experiments. Here, good neurological states were defined as 72-h NDS  $\geq 60$ , while poor neurological states were defined as 72-h NDS  $< 60$  based on our previous experience and observation [42]–[46].

## III. METHODS AND QUANTITATIVE ANALYSIS

### A. Preprocessing of EEG Signals

EEG was first checked for artifact contamination, such as mechanical artifacts induced by CPR or AC power 60 Hz noise. Analysis was performed in both time domain with WinDaq software (Data Instruments, Akron, OH) and in frequency domain with MATLAB (MathWorks, Natick, MA). The contaminated channels of EEG were excluded from further analysis.

### B. Sample Entropy (SampEn)

SampEn is defined as the negative natural logarithm of the conditional probability that two sequences similar to each other for the first  $m$  points remain similar at the next  $m + 1$  points, while self-matches are excluded [33]–[35]. It measures the complexity in a time series on a single time scale. There are two specified SampEn parameters: pattern length  $m$  ( $m \geq 1$ ) and tolerance level  $r$  for similarity comparison. Given a 1-D time series  $X = \{x(1), x(2), \dots, x(N)\}$ , SampEn is calculated as follows [33]–[35]: first, construct  $N - m + 1$  vectors

$$X_m(i) : X_m(i) = \{x(i+k), 0 \leq k \leq m-1\} \quad (1)$$

and the distance between two vectors is defined as absolute maximum difference between the corresponding scalar components

$$d[X_m(i), X_m(j)] = \max(|x(i+k) - x(j+k)|) \quad (2)$$

where  $0 \leq k \leq m-1$ . Given  $r$ ,  $B_i^m(r)$  is defined as  $1/(N-m)$  times the number of vectors  $X_m(j)$  falling within vector distance  $r$  of  $X_m(i)$ , where  $1 \leq j \leq N-m$  ( $j \neq i$ )

$$B_m(r) = \frac{1}{N-m} \sum_{i=1}^{N-m} B_i^m(r). \quad (3)$$

Similarly,  $B_i^{m+1}(r)$  is defined as  $1/(N-m-1)$  times the number of vectors  $X_{m+1}(j)$  falling within vector distance  $r$  of  $X_{m+1}(i)$ , where  $1 \leq j \leq N-m-1$

$$B_{m+1}(r) = \frac{1}{N-m-1} \sum_{i=1}^{N-m-1} B_i^{m+1}(r). \quad (4)$$

SampEn is defined as

$$\text{SampEn}(m, r) = \lim_{N \rightarrow \infty} \left[ -\ln \frac{B_{m+1}(r)}{B_m(r)} \right]. \quad (5)$$

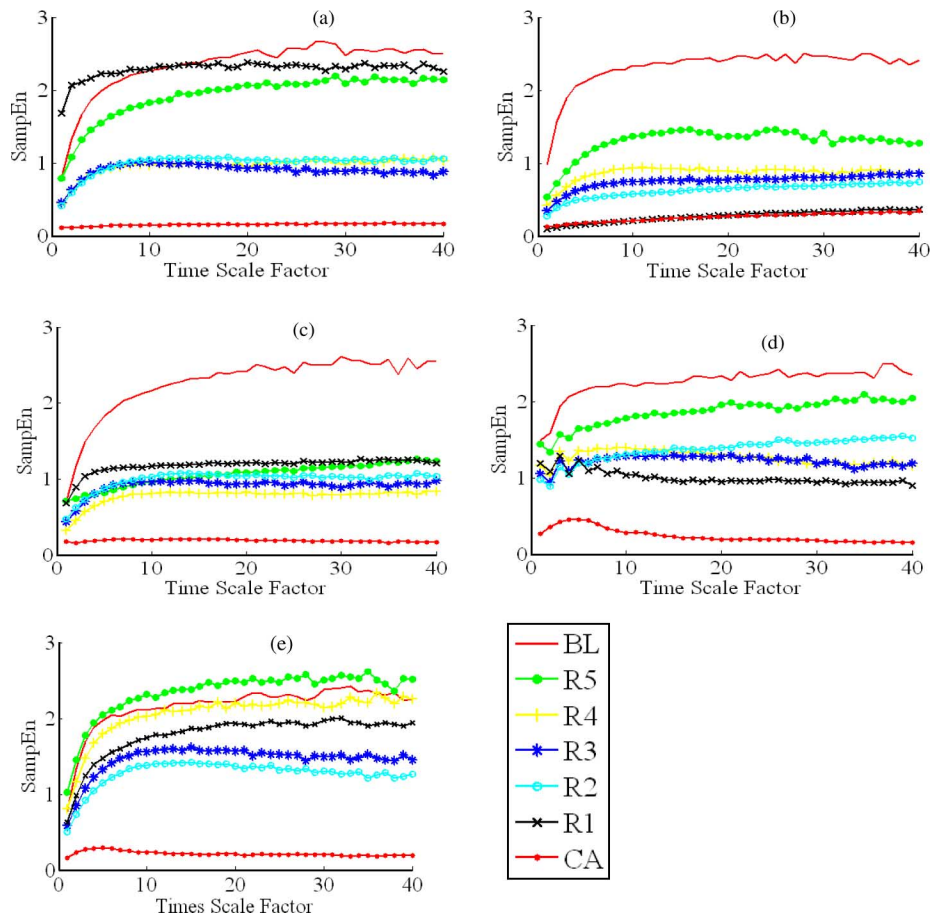


Fig. 1. MSE curves for the seven different recording phases in all five normothermia experiments. (a)–(e), respectively, represent the results from MSE analysis for a normothermia experiment. Take (a) for example, there are seven MSE curves within (a), and each MSE curve corresponds to a recording phase in an experiment from BL to R5 defined in Fig. 3. In each MSE curve, SampEn increases monotonically with time scale factor ranging from 1 to 19, and reaches a “plateau” or “saturation” when time scale factor ranges from 20 to 40. For each MSE curve in CA, SampEn stays significantly low compared to those in other recording phases. The results show that the saturation value of MSE curves is the highest in BL and the lowest in CA except in (c), where the saturation value in R5 is the highest and the saturation value in BL is the second highest. In (a) and (b)–(e), the saturation value in R5 is always lower than that in BL, along with poor recovery outcomes (72-h NDS < 60).

### C. Multiscale Entropy (MSE)

MSE is designed to measure time-domain complexity in a signal over multiple time scales. A time scale factor ( $\lambda$ ) is set as the width of nonoverlapping time windows. The mean of all the samples within each time window of the original time series  $Y = \{y_1, y_2, \dots, y_N\}$  is calculated and used to form a new coarse-grained time series. The coarse-grained time series  $X^{(\lambda)} = \{x_1^\lambda, x_2^\lambda, \dots, x_N^\lambda\}$  obtained for a given  $\lambda$  is denoted by

$$x_{n+1}^{(\lambda)} = \frac{1}{\lambda} \left( y_{n\lambda+1} + y_{n\lambda+2} + \dots + y_{(n+1)\lambda} \right),$$

$$n = 0, 1, 2, \dots, \left( \left\lfloor \frac{N}{\lambda} - 1 \right\rfloor \right) \quad (6)$$

where  $\lfloor \cdot \rfloor$  denotes the integer part. Scalar entropy is calculated for each coarse-grain time series. Since SampEn is used in the first introduction of MSE [29] and most commonly applied in previous MSE analysis [36]–[40], SampEn was applied in our MSE analysis of the complexity in EEG. We

have tried different combinations of  $m$  ( $m = 1, 2$ ) and  $r$  values ( $0.1 \leq r \leq 0.25$ ), and they gave similar results in the MSE analysis. Here, we used  $m = 2$  and  $r = 0.1\sigma_Y$ . SampEn is plotted against time scale factors to form MSE curves (Figs. 1 and 2).

We divided EEG obtained from each experiment in both groups into seven recording phases: BL, CA, and five recording phases R1–R5 during postresuscitation recovery according to our experimental protocol (Fig. 3). The recording phase CA is followed by electric silence for approximately 15 min before the reappearance of continuous EEG bursting, and thus, the first recovery period starts at 32 min. For a series of consecutive time scale factors  $\lambda$  ranging from 1 to 40, 40 corresponding coarse-grained time series are generated for seven recording phase, respectively.

Here, a typical MSE curve can be seen to saturate after a monotonic increase with the first 20 time scale factors  $\lambda$  (Figs. 1 and 2). We define the saturation value of each MSE curve, which is the average of SampEn corresponding to time scale factors  $\lambda$  ranging from 20 to 30, as  $MSE_\alpha$  here. Given the sampling rate of 250 Hz in our EEG recording system, the time scale factors

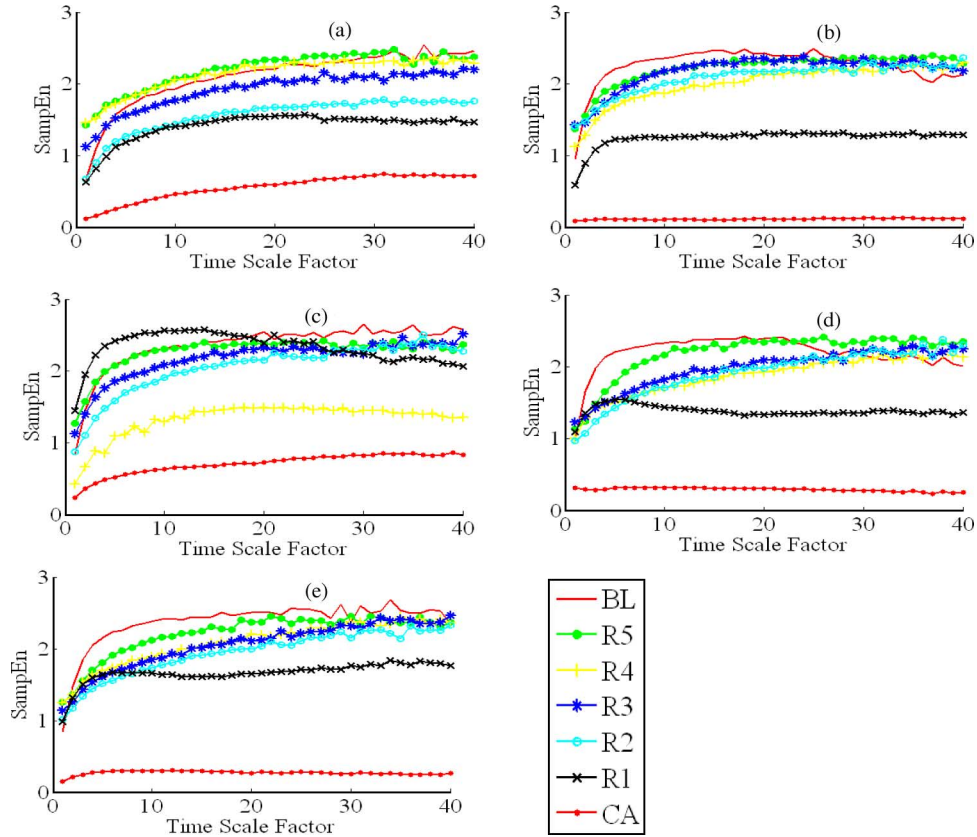


Fig. 2. MSE curves for the seven different recording phases in all five hypothermia experiments. (a)–(e), respectively, represent the results from MSE analysis for a hypothermia experiment. Take (a) for example, there are seven MSE curves within (a), and each MSE curve corresponds to a recording phase in an experiment from BL to R5 defined in Fig. 3. In each MSE curve, SampEn increases monotonically with time scale factors ranging from 1 to 19, and reaches a “plateau” or “saturation” when time scale factor ranges from 20 to 40. Unlike the conditions in the normothermia group, most of the MSE curves in R5 are very close to or above the MSE curves for BL, along with good recovery outcomes (72-h NDS > 60).

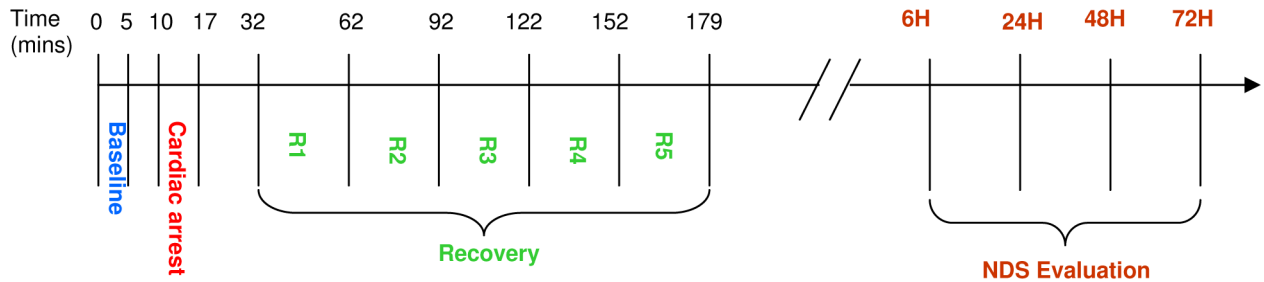


Fig. 3. Timeline for EEG recording in ischemia CA rodent experiments and the following neurological evaluation. BL lasts from the start of the experiment to 5 min, while ischemia CA lasts from 10 to 17 min. The recovery period includes five recording phases R1–R5, lasting from 32 to 179 min relative to the beginning of the experiment. NDS evaluation follows the EEG recording at 6, 24, 48, and 72 h after CA.

$\lambda = 20$  to  $\lambda = 30$  approximately correspond to the  $\alpha$ -rhythm (8–12 Hz) since

$$\frac{250 \text{ Hz}}{20} = 12.5 \text{ Hz} \tag{7}$$

$$\frac{250 \text{ Hz}}{30} = 8.3 \text{ Hz}. \tag{8}$$

Therefore,  $MSE_\alpha$  mainly reflects the changes in the complexity in the  $\alpha$ -rhythms.

#### D. Statistical Methods

In order to uncover the relationship between  $MSE_\alpha$  and 72-h neurological performance of the rats, the Pearson correlation coefficients and the corresponding  $p$ -values out of bivariate analysis between  $MSE_\alpha$  and NDS are calculated for the two groups. Because different SDs are observed in the NDS and the  $MSE_\alpha$  between the normothermia and hypothermia groups, data in the normothermia and hypothermia groups are compared to each other using two sample  $t$ -test under the assumption of unequal population variances.  $p < 0.05$  was treated as significant. All the data are expressed as the mean  $\pm$  SD.

TABLE I  
NDS (MEAN  $\pm$  SD) FOR NORMOTHERMIA AND HYPOTHERMIA GROUPS  
AT DIFFERENT STAGES OF POST-CA RECOVERY

	NDS(6H)	NDS (24H)	NDS (48H)	NDS (72H)
Normothermia (N = 5)	43.2 $\pm$ 5.31	55.8 $\pm$ 11.69	57.75 $\pm$ 11.56	55.8 $\pm$ 11.69
Hypothermia (N = 5)	55.8 $\pm$ 6.38	71.6 $\pm$ 3.58	73.2 $\pm$ 1.10	71.6 $\pm$ 3.58
<i>p</i> -value	<0.01	0.03	0.09	0.07

TABLE II  
NUMBER OF ANIMALS WITH FAVORABLE OUTCOMES AT DIFFERENT STAGES OF  
POST-CA RECOVERY IN NORMOTHERMIA AND HYPOTHERMIA GROUPS

	6H	24H	48H	72H
Normothermia (N = 5)	0	2	1	1
Hypothermia (N = 5)	2	5	5	5

TABLE III  
MSE $_{\alpha}$  (MEAN  $\pm$  SD) BETWEEN NORMOTHERMIA  
AND HYPOTHERMIA GROUPS

	BL	CA	R1	R2	R3	R4	R5
Normo- thermia (N = 5)	2.39 $\pm$ 0.10	0.24 $\pm$ 0.06	1.39 $\pm$ 0.78	1.13 $\pm$ 0.29	1.11 $\pm$ 0.29	1.22 $\pm$ 0.54	1.79 $\pm$ 0.57
Hypo- thermia (N = 5)	2.36 $\pm$ 0.13	0.47 $\pm$ 0.30	1.65 $\pm$ 0.42	2.07 $\pm$ 0.22	2.20 $\pm$ 0.10	2.02 $\pm$ 0.34	2.33 $\pm$ 0.07
<i>p</i> -value	0.75	0.17	0.53	<0.01	<0.01	0.02	0.07

## IV. RESULTS

### A. Recovery Outcomes Summary

The evaluation of NDS at 6, 24, 48, and 72 h in the normothermia and hypothermia groups showed that the NDS of the hypothermia group was significantly higher than that of the normothermia group at 6 and 24 h, indicating better functional recovery in the hypothermia group during the acute stages of recovery (Table I). Moreover, the number of hypothermic rats with better neurological recovery was greater than that of normothermic animals in every NDS examination (Table II).

### B. MSE Curve

A single MSE curve was generated for each recording phase for every rat in the normothermia and hypothermia groups (Figs. 1 and 2). Through the observation of MSE curves in Figs. 1 and 2, we can see that good experimental outcomes (72-h NDS  $\geq$  60) would appear when the MSE curve for R5 is either very close to or above the MSE curve for BL over most of the time scales; and poor experimental outcomes (72-h NDS < 60) would turn up when the MSE curve for R5 is far below the BL MSE curve over the same time scales. In order to quantify the relationship, MSE $_{\alpha}$  for each recording phase was calculated and compared between the two groups (Table III). There was significant difference in MSE $_{\alpha}$  between the normothermia and hypothermia groups in R2–R4. We found that good recovery outcomes was always associated with MSE $_{\alpha}$ (R5/BL) greater than 0.85 (Table IV).

TABLE IV  
MSE $_{\alpha}$  (BL/R5) AND CORRESPONDING 72-h NDS for all Rats

ID	MSE $_{\alpha}$ (BL/R5)	72-hr NDS	Condition
rat #1	0.81	0	Normothermia
rat #2	0.60	46	Normothermia
rat #3	0.48	50	Normothermia
rat #4	0.82	59	Normothermia
rat #5	1.04	72	Hypothermia
rat #6	1.07	74	Normothermia
rat #7	1.01	74	Hypothermia
rat #8	0.96	75	Hypothermia
rat #9	1.07	78	Hypothermia
rat #10	0.88	80	Hypothermia

TABLE V  
PEARSON CORRELATION COEFFICIENTS (*p*-VALUE) BETWEEN NDS AND MSE $_{\alpha}$   
IN NORMOTHERMIA AND HYPOTHERMIA GROUPS

	MSE $_{\alpha}$					
	BL	R1	R2	R3	R4	R5
Normothermia						
NDS(6H)	0.21 (0.07)	0.73 (0.01)	0.19 (0.07)	0.49 (0.04)	0.60 (0.02)	0.80 (0.01)
NDS(24H)	-0.16 (0.08)	0.57 (0.03)	0.35 (0.05)	<b>0.75</b> (0.01)	<b>0.87</b> (0.05)	<b>0.89</b> (0.04)
NDS(48H)	-0.85 (0.06)	0.59 (0.05)	0.65 (0.06)	<b>0.97</b> (0.03)	<b>0.99</b> (0.03)	<b>0.97</b> (0.07)
NDS(72H)	-0.84 (0.07)	0.63 (0.05)	0.74 (0.05)	<b>0.99</b> (0.03)	<b>0.97</b> (0.03)	<b>0.96</b> (0.06)
Hypothermia						
NDS(6H)	0.79 (0.01)	0.33 (0.05)	0.73 (0.01)	0.68 (0.02)	-0.36 (0.05)	-0.63 (0.02)
NDS(24H)	0.59 (0.02)	0.23 (0.07)	0.88 (0.04)	0.86 (0.06)	-0.44 (0.04)	-0.47 (0.04)
NDS(48H)	0.74 (0.01)	0.24 (0.07)	0.68 (0.02)	0.85 (0.07)	-0.36 (0.05)	-0.46 (0.04)
NDS(72H)	0.33 (0.05)	0.06 (0.09)	0.40 (0.05)	-0.01 (0.09)	0.04 (0.09)	-0.72 (0.01)

### C. Correlation Between MSE $_{\alpha}$ and NDS

In order to uncover the relationship between  $\alpha$ -rhythms during the acute stages of recovery and the recovery outcomes within three days, the Pearson correlation coefficients and the corresponding *p*-values between NDS and MSE $_{\alpha}$  were calculated for the two groups, respectively. MSE $_{\alpha}$  of the normothermia group in R3–R5 had high correlation with NDS at 24, 48, and 72 h. On the other hand, the correlation between MSE $_{\alpha}$  of the hypothermia group and NDS at four examination times (Table V) was low. Fig. 4 shows that the binary classification of the 72-h recovery outcomes as good or poor with the threshold of 0.85 for MSE $_{\alpha}$ (R5/BL) and the threshold of 60 for NDS [42]–[46]. The good and poor recovery outcomes are well separated into two distinct clusters, indicating that MSE $_{\alpha}$ (R5/BL) can classify the 72-h recovery outcomes as favorable and unfavorable.

## V. DISCUSSION

EEG as a tool for neuromonitoring is easily accessible in clinics. Neurologists usually inspect EEG to obtain the information about the patients' neurological status. However, the determination of neurological status of the brain from simple EEG

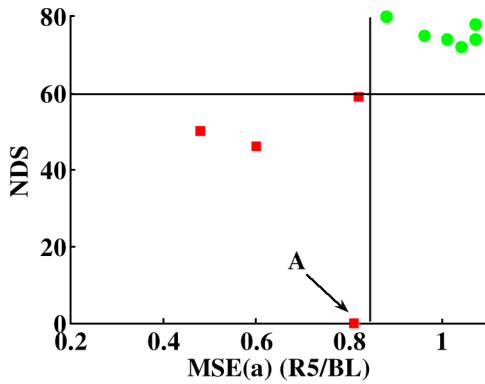


Fig. 4. Binary classification of 72-h recovery outcome represented by NDS as good (circles) or poor (squares) with  $MSE_{\alpha}$  (R5/BL). Good recovery outcome is defined as 72-h NDS  $\geq 60$  (horizontal line corresponding to NDS = 60) and vice versa [41]–[45]. The result indicates that with the threshold of 0.85 for  $MSE_{\alpha}$  (R5/BL) (vertical line corresponding to  $MSE_{\alpha} x$  (R5/BL) = 0.85), 72-h recovery outcomes can be well classified into two clusters without any overlap. Point A is an outlier, representing a rat with  $MSE_{\alpha}$  (R5/BL) = 0.81 died before 72-h NDS examination.

inspection does not provide enough information for early prediction of long-term outcomes. The first few hours during the postresuscitation period constitutes the most critical time for therapeutic interventions to minimize potential brain injury induced by CA and early reperfusion. Our results suggest that 72-h recovery outcomes from global ischemia CA may be predicted early and divided into two classes as favorable and unfavorable during the first 3-h postresuscitation period using the MSE analysis. Neurologists may further adopt therapies such as therapeutic hypothermia to improve recovery outcomes. The limitation is that  $MSE_{\alpha}$  (R5/BL) needs the BL information, which may not be available in real clinical situations, especially for patients suffering from out-of-hospital CA. Yet, according to our results in rats,  $MSE_{\alpha}$  (BL) for both groups showed little variation:  $2.37 \pm 0.11$ . In real clinical occasions, neurologists may define  $MSE_{\alpha}$  (BL)s from normal subjects in different age groups and genders.

The beneficial effects of therapeutic hypothermia on post-CA recovery have been proved in various animal models [47], [48] and human clinical trials [49], [50]. However, several challenges and uncertainties persist in its application, including and not limited to the effects of hypothermia on different EEG subbands as well as related brain structures, and the understanding of basic mechanism. While MSE can show the change in the complexity of each EEG subband over multiple time scales,  $MSE_{\alpha}$  can be considered as a measure of complexity level within the  $\alpha$ -rhythms. The significant difference in  $MSE_{\alpha}$  between the normothermia and hypothermia groups in R2–R4 (Table III) indicates the significant difference in the complexity of the  $\alpha$ -rhythms under temperature modulation. Table III also shows that in the normothermia group,  $MSE_{\alpha}$  (R5) is about 25% less than  $MSE_{\alpha}$  (BL), and it may indicate the decreased complexity level in the  $\alpha$ -rhythms due to the ischemia in CA and early reperfusion. In the hypothermia group,  $MSE_{\alpha}$  (R5) is 1% less than  $MSE_{\alpha}$  (BL), and it shows that there is little decrease in the complexity of the  $\alpha$ -rhythms under hypothermia. We have

previously shown that it is not the temperature (32 °C–34 °C) itself that causes the change in EEG, but the response of the injured brain to hypothermia as manifested in the quantitative EEG analysis [51]. The loss of complexity in the  $\alpha$ -rhythms in the normothermia group is in accordance with the “complexity-loss” theory in the unhealthy organs [30], [31]. It may suggest that the neurological injuries induced by CA in the thalamus reduce the thalamus’s capability to respond to various stimuli, and therapeutic hypothermia has significant neuroprotective effect on the thalamus. Yet, further detailed investigation is needed to confirm the projection.

The significant differences in NDS at 6 and 24 h between the normothermia and hypothermia groups indicate that temperature modulation has significant effects on early recovery outcomes (Table I). On the other hand, the loss of significant differences between the normothermia and hypothermia groups in NDS at 48 and 72 h may indicate that 6-h mild hypothermia has limited neuroprotective effects on the eventual recovery outcomes, and the effects of mild hypothermia with longer duration on the neurological recovery outcomes may be worth of further investigation.

We hypothesizes that the decreased complexity associated with the  $\alpha$ -rhythms serves as potential evidence of neurological injuries in the thalamus, and the results in Table IV indicate that the degeneration in the thalamus may be a factor in poor recovery outcomes during the acute stages of postresuscitation period under normothermia. The poor correlation between  $MSE_{\alpha}$  and NDS in the hypothermia group (Table V), we hypothesize, may indicate that recovery outcomes from CA may be the results of neurological injuries under hypothermia in brain regions other than the thalamus under hypothermia. Histological examination as well as experimentation with multichannel recording in the thalamus and other related brain structures may be needed to confirm our hypotheses.

Limitations of this study include a small number of animals. The results of EEG analysis are quite consistent and statistically significant with this cohort. However, mechanistic insight on the cortical injury as well as reaching conclusions on hypothermia efficacy would require larger cohorts. Another potential limitation of our study is the lack of corresponding histopathological data to support our hypothesis. Though our group has previously demonstrated that the histopathological markers for ischemic neuron death in various brain regions correlate with quantitative EEG and NDS measures [41], we also realize that postmortem histologic markers in rats are poor indicators of clinical significance in human trials of the same therapies if used as the only method [52], and neurobehavioral studies would be more predictive [53]. Consequently, in this paper, more attention is put on biological complexity measures (MSE) and neurological recovery outcomes (NDS). Our observation and analysis are based on EEG recorded during the first 3 h after resuscitation. Additional experiments under different degrees and durations of hypothermia, followed by signal analysis with a longer observation window for EEG, would be done to demonstrate the utility of EEG analysis in long-term monitoring and prognosis.

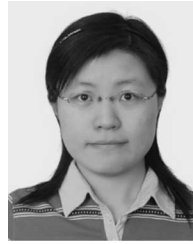
## VI. CONCLUSION

Our results suggest that the MSE analysis can be used to track and differentiate the changes in complexity of each EEG subband over multiple time scales under hypothermia and normothermia. Specifically,  $MSE_{\alpha}$  can characterize the complexity in  $\alpha$ -rhythms in different recording phases of the experiments, and is reflective of the benefit of hypothermia during the acute stages of recovery. The ratio  $MSE_{\alpha}(R5/BL)$  may be used as a numeric index for early prediction and classification of late recovery outcomes (good/poor). Given that decreased complexity of  $\alpha$ -rhythms partially represents neurological injuries in the thalamus, we hypothesized that therapeutic hypothermia may produce significant neuroprotective effect on the thalamus early after resuscitation. These experiments have the potential to help uncover the mechanism of early neurological response to therapeutic hypothermia and produce major shift in brain recovery monitoring with clinical translation.

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