

4.0 Cyclic Codes

4.1 Informal Definition

Definition 1 *A code C is a cyclic code if every cyclic shift of c also belongs to C .*



That is, if C is cyclic,

- $(a, b, c) \in C \Rightarrow (b, c, a) \in C$;
- recursively so.

We will study linear cyclic codes. **Why?**

- Cyclic code words are easily generated?
 - They are, but *that's not the reason*.
- Cyclic codes have a **rich, complex structure** which permits the coding theorist and the engineer to:
 1. understand precisely the *performance* and *limitations* of the code, and
 2. study classes and families of cyclic codes that have properties specific to an application.

Definition 2 For a cyclic code \mathcal{C} ,

$$(c_0, c_1, \dots, c_{n-1}) \in \mathcal{C} \Rightarrow (c_{n-1}, c_0, \dots, c_{n-2}) \in \mathcal{C}.$$



- Let us represent a cyclic code word of length n by a polynomial of degree $n - 1$:

$$\mathbf{c} = (c_0, c_1, \dots, c_{n-1}) \in \mathcal{C}$$

$$c(x) = c_0 + c_1x + \dots + c_{n-1}x^{n-1} \in \mathcal{C}$$

- or 2 *equivalent* notations for the same concept.
- So, in addition to $c(x)$,

$$c_{n-1} + c_0x + c_1x^2 \dots + c_{n-2}x^{n-1} \in \mathcal{C}$$

$$c_{n-2} + c_{n-1}x + c_0x^2 \dots + c_{n-3}x^{n-1} \in \mathcal{C}$$

$$c_1 + c_2x + \dots + c_{n-1}x^{n-2} + c_0x^{n-1} \in \mathcal{C}$$

Write

$$\begin{aligned}c(x) &= c_0 + c_1x + \cdots + c_{n-1}x^{n-1}. \\xc(x) &= c_0x + c_1x^2 + \cdots + c_{n-2}x^{n-1} + c_{n-1}x^n.\end{aligned}$$

But, the cyclic shift of $c(x)$ is

$$c_{n-1} + c_0x + c_1x^2 \cdots + c_{n-2}x^{n-1}.$$

Is there a way to derive the cyclic shift of $c(x)$ from the polynomial $xc(x)$?

Yes!

- Divide $xc(x)$ by $x^n - 1$.
- The remainder is the cyclic shift of codeword $c(x)$.

Proof: Straightforward algebra (**Exercise**). □

Temporarily, we write this remainder as $\langle xc(x) \rangle$. Then,

$$\begin{aligned}
 c(x) &= c_0 + c_1x + \cdots + c_{n-1}x^{n-1} \in \mathcal{C} \\
 \langle xc(x) \rangle &= c_{n-1} + c_0x + c_1x^2 \cdots + c_{n-2}x^{n-1}. \\
 \langle x^2c(x) \rangle &= c_{n-2} + c_{n-1}x + c_0x^2 \cdots + c_{n-3}x^{n-1}. \\
 &\vdots
 \end{aligned}$$

Theorem 1 *The set of polynomials of degree $n - 1$ is **closed** under addition, subtraction, and multiplication **modulo** $x^n - 1$.*

Proof: By construction. Work it out. □

- Such an algebraic structure is called a **ring**.
- To study the rich algebraic structures of cyclic codes, we need some **modern** or **abstract** algebra.

4.2 The Algebra of Cyclic Codes

4.2.1 Rings

Definition 3 A commutative ring is a set \mathbf{R} with two operations \oplus and \star such that:

- \mathbf{R} is a commutative group under \oplus ;
- \mathbf{R} is closed under \star ;
- \star is commutative and associative: For $a, b \in \mathbf{R}$,
 $(a \star b) \star c = a \star (b \star c)$;
- \star distributes over \oplus :

$$a \star (b \oplus c) = a \star b \oplus a \star c$$

$$(d \oplus e) \star f = d \star f \oplus e \star f;$$

- If there is an identity e under \star , it is unique.



Ring Properties:

- Let \mathcal{O} = the identity under \oplus and \mathcal{E} = the identity under \star (e.g., like 0 and 1.)

$$\mathcal{O} \star a = a \star \mathcal{O} = 0.$$

$$a \star (-b) = (-a) \star b = -(a \star b).$$

- The (multiplicative) identity \mathcal{E} in \mathbf{R} is unique.
- The (multiplicative) inverse $(a^{-1})^{-1}$ of a^{-1} is a .

Exercise: Prove these.

Important Example:

The set $\mathbb{R}[x]$ of univariate polynomials with real coefficients is a commutative ring with identity 1.

Definition 4 An integral domain is a ring with a cancellation property.



e.g., \mathbb{Z} is an integral domain, and:

$$ac = ad \Rightarrow c = d, \quad \forall a \neq 0, \quad c, d \in \mathbb{Z}.$$

However, a^{-1} does *not* exist in \mathbb{Z} .

4.2.2 Fields

Definition 5 A **field** is a commutative ring in which every element also has an inverse under the second operation \star .



Note: In most cases, you can think of \oplus and \star as “addition” and “multiplication.”

Examples:

- \mathbb{Q} , \mathbb{R} , and \mathbb{C} are examples of *infinite* fields. (**Exercise:** find the multiplicative inverse of $a + jb$ in \mathcal{C} .)
- $GF(q)$ is the finite field of $q \in \mathbb{Z}$ elements. (There are restrictions on q as we shall see later.)
 - $GF(2)$ (**Exercise:** construct the tables.)
 - $GF(3) = \{0, 1, 2\}$. (**Exercise:** construct the tables.)

GF(4)

+	0	1	2	3
0	0	1	2	3
1	1	0	3	2
2	2	3	0	1
3	3	2	1	0

*	0	1	2	3
0	0	0	0	0
1	0	1	2	3
2	0	2	3	1
3	0	3	1	2

Exercise: Is this modulo 4 arithmetic?

Later: How to construct $GF(q)$ for any *allowed* $q = p^m$.

4.2.1 Subfields

Definition 6 A **subfield** is a subset of a field which itself is a field under the “inherited” operations.



The original field is said to be an **extension** of the subfield.

Examples:

- \mathbb{Q} (rationals) is a subfield of \mathbb{R} (reals)
- \mathbb{R} is an extension of \mathbb{Q} .
- \mathbb{R} is a subfield of \mathbb{C} (complex).
- \mathbb{C} is an extension of \mathbb{R} .

4.2.3 Polynomial Algebra and Galois Fields

4.2.3.1 The Integer Ring, \mathbb{Z}

Since cyclic codewords are polynomials, an algebra of polynomials will be helpful.

Definition 7 *Let $a, b \in \mathbb{Z}$.*

- $(a, b) \triangleq \text{GCD}(a, b) \triangleq$ *largest $d \in \mathbb{Z}$ s.t.: $d|a$ and $d|b$.*
- $\text{LCM}(a, b) \triangleq$ *smallest $m \in \mathbb{Z}$ s.t.: $a|m$ and $b|m$.*
- a, b *are said to be relatively prime if $\text{GCD}(a, b) = 1$*
- a *is said to be **prime** if divisible by 1 and a only.*



The Division Algorithm of Algebra: For any $a, b \neq 0, \in \mathbb{Z}$, there exist a quotient q and a remainder r , both in \mathbb{Z} *such that*:

$$a = bq + r.$$

Lemma q and r are unique.

Proof:

- Suppose not. Then there are two quotients and remainders:

$$a = bq_1 + r_1$$

$$a = bq_2 + r_2$$

$$0 = b(q_1 - q_2) + (r_1 - r_2)$$

- Therefore, $(r_1 - r_2)$ is an integer multiple of b .
- But $r_1 < b$ and $r_2 < b \Rightarrow$ *contradiction*.



Definition 8 When $a = bq + r$, we write:

$$R_b[a] \triangleq r.$$



Definition 9 We say that

$$a \equiv r \pmod{b}$$

$$a = r \pmod{b}$$



Theorem 2 For $a, b, t \in \mathbb{Z}$,

$$R_t[a + b] = R_t[R_t[a] + R_t[b]]$$

$$R_t[ab] = R_t\{R_t[a] \cdot R_t[b]\}.$$

Proof: based upon the uniqueness of the remainder.



The division algorithm is used to find the *GCD*:

Theorem 3 (*The Euclidean Algorithm*) Let $a < b \in \mathbb{Z}$. Then $d = \text{GCD}(a, b)$ can be computed by the iterative algorithm:

$$\begin{aligned}
 b &= q_1 a + r_1, & 0 \leq r_1 < a \\
 a &= q_2 r_1 + r_2, & 0 \leq r_2 < r_1 \\
 r_1 &= q_3 r_2 + r_3, & 0 \leq r_3 < r_2 \\
 &\dots \\
 r_{n-2} &= q_n r_{n-1} + r_n, & 0 \leq r_n < r_{n-1} \\
 r_{n-1} &= q_{n+1} r_n
 \end{aligned} \tag{1}$$

- Now, $d|a$, $d|b \Rightarrow d|r_1 \Rightarrow d|r_2 \cdots d|r_n$
- Also, $r_n|r_{n-1} \Rightarrow r_n|r_{n-2} \cdots r_n|a \Rightarrow r_n|b$.
- Hence, $r_n|d$ and $d|r_n$ so $d = r_n$. □

Corollary: *let $a, b \in \mathbb{Z}$. Then there exist integers c and d such that*

$$GCD(a, b) = ac + bd. \quad (2)$$

Proof:

- From proof of Euclidean Algorithm, $GCD(a, b) = r_n$
- Solve the linear equations (in the proof) for r_n as a linear function of a and b . □

4.2.3.2 Constructing finite fields from \mathbb{Z}

- Let q be a positive integer.
- Let $\mathbb{Z}/(q) = \{0, 1, \dots, q - 1\}$, the integers modulo q .
 - $\mathbb{Z}/(q)$ maps every integer in \mathbb{Z} into an integer between 0 and $q - 1$.
 - Hence, it decomposes the ring \mathbb{Z} of integers into q semi-infinite cosets!
- For $a, b \in \mathbb{Z}/(q)$, define:

$$a + b \triangleq R_q[a + b] \quad (3)$$

$$a \cdot b \triangleq R_q[ab] \quad (4)$$

Theorem 4 $\mathbb{Z}/(q)$ is a ring under the addition and multiplication operations defined above.

Proof: Work through the axioms. □

Definition 10 $\mathbb{Z}/(q)$ is called the ring of integers modulo q .



Theorem 5 $\mathbb{Z}/(q)$ is a field if and only if q is a prime integer.

Proof: See, e.g., Blahut, Sect 4.2.



- Hence, to construct a finite field $GF(p)$ for any prime integer p , form $\mathbb{Z}/(p)$.
- For certain nonprime values of q , a finite field $GF(q)$ can also be constructed.
- This requires the study of *rings of polynomials*.

4.2.3.3 The Polynomial Ring

Definition 11 A **polynomial** over $GF(q)$ is an expression

$$f(x) = f_0 + f_1x + f_2x^2 + \cdots + f_{n-1}x^{n-1},$$

where $f_i \in GF(q)$, $i = 0, 1, 2, \dots, n - 1$.



- **degree:** $\deg[f(x)] = n - 1$.
- $\deg[0] = -\infty$ by convention.
- $f(x)$ is said to be **monic** whenever $f_{n-1} = 1$.
- **equality:**

$$f(x) = g(x) \Leftrightarrow f_i = g_i, \quad i = 0, 1, \dots, n - 1.$$

Residues in $GF(q)[x]$:

- *Notice the analogies with residue theory in \mathbb{Z} .*

Definition 12 $r(x)$ **divides** $s(x)$, $r(x)|s(x) \Leftrightarrow$ *there exists polynomial $a(x)$ such that*

$$a(x)r(x) = s(x)$$



Definition 13 *An irreducible polynomial $p(x)$ is divisible only by scalar α and by $\alpha p(x)$*



Definition 14 A **prime polynomial** is a **monic, irreducible** polynomial of degree at least 1. □

Definition 15 The **greatest common divisor** $GCD[r(x), s(x)]$ is the monic polynomial of **largest** degree that divides each. □

Notation: The following notation is also used.

$$GCD[r(x), s(x)] = (r(x), s(x))$$

Definition 16 The **least common multiple** $LCM[r(x), s(x)]$ is the monic polynomial of **smallest** degree that is divisible by each. □

Definition 17 $r(x)$ and $s(x)$ are said to be **relatively prime** or **coprime** if

$$\text{GCD}[r(x), s(x)] = 1.$$



Definition 18 The **formal derivative** of $f(x)$ is:

$$((n-1))f_{n-1}x^{n-2} + ((n-2))f_{n-2}x^{n-2} + \cdots + f_1$$

where $((i)) = \overbrace{1 + 1 + \cdots + 1}^i$ is called an **integer of the field**.^a



Lemma: If $r(x)|s(x)$ and if $s(x)|r(x)$ then $r(x) = \pm s(x)$.



^aWhen there is no confusion, we will write i for $((i))$.

The Division Algorithm for Polynomials.

Theorem 6 For every pair of polynomials, $b(x) \neq 0$, and $a(x)$, there exist a unique pair of polynomials, $Q(x)$ (quotient) and $r(x)$ (remainder) such that:

$$a(x) = Q(x)b(x) + r(x)$$

where $\deg[r(x)] < \deg[b(x)]$.

Proof: Similar to of the Division Algorithm for Integers; replace the integer value with the degree of the polynomial (Blahut, p. 74). \square

Recall:

$$a(x) = Q(x)b(x) + r(x)$$

Definition 19 We call $R_{b(x)}[a(x)] = r(x)$ the **remainder or residue** of $a(x)$ modulo $b(x)$ and write

$$r(x) \equiv a(x) \pmod{b(x)},$$

where $\deg[r(x)] < \deg[b(x)]$.



Theorem 7 Let $d(x) = g(x) \cdot h(x)$. Then, for any polynomial $a(x)$,

$$R_{g(x)}[a(x)] = R_{g(x)}\{R_{d(x)}[a(x)]\}$$

Proof: Divide $a(x)$ by $d(x)$:

$$\begin{aligned} a(x) &= Q_1(x)d(x) + R_{d(x)}[a(x)] \\ &= Q_1(x)g(x)h(x) + R_{d(x)}[a(x)] \end{aligned}$$

and

$$R_{g(x)}[a(x)] = R_{g(x)}\{R_{d(x)}[a(x)]\}$$



Theorem 8

$$R_{d(x)}[a(x) + b(x)] = R_{d(x)}[a(x)] + R_{d(x)}[b(x)]$$

$$R_{d(x)}[a(x) \cdot b(x)] = R_{d(x)}\{R_{d(x)}[a(x)] \cdot R_{d(x)}[b(x)]\}$$

Proof: As with the residues, use the division algorithm and equate the remainders. (Blahut, p. 74) □

The Unique Factorization Theorem for Polynomials

Theorem 9 *Any monic polynomial over a field can be uniquely factored into monic irreducible polynomials over that field.*

Proof: Blahut, p.75. This generalizes the well-known UFT for integers:

$$a \in \mathbb{Z} \Rightarrow a = p_1^{m_1} \cdot p_2^{m_2} \cdots p_n^{m_n}$$

for some finite n .



Theorem 10 (The Euclidean Algorithm for Polynomials.) *Let $a(x), b(x) \in GF(q)[x]$ and $\deg[a(x)] < \deg[b(x)]$. Then $GCD[a(x), b(x)]$ can be found by the iterative algorithm:*

$$b(x) = Q_1(x)a(x) + r_1(x)$$

$$a(x) = Q_2(x)r_1(x) + r_2(x)$$

$$r_1(x) = Q_3(x)r_2(x) + r_3(x)$$

...

$$r_{n-2}(x) = Q_n(x)r_{n-1}(x) + r_n(x)$$

$$r_n(x) = Q_{n+1}(x)r_n(x)$$

and $\alpha \cdot GCD[a(x), b(x)] = r_n(x)$, where $\alpha \in GF(q)$.

Proof: of Euclidean Theorem for Polynomials parallels that for the integers (Blahut, p.76). □

Theorem 11 (*The Fundamental Theorem of Algebra*) Let $\deg[f(x)] = n$. Then, $f(x)$ has at most n zeros and $f(\alpha) = 0$ if and only if $(x - \alpha) \mid f(x)$.

Proof: See text. □

4.2.3.4 Finite Fields from Polynomial Rings

- By analogy with $\mathbb{Z}/(q)$, we use quotients in $GF(q)[x]$ to construct finite fields.
- This permits construction of fields not possible using integer residues.
- For notational simplicity, let $\mathbb{F}_q \triangleq GF(q)$. be any finite field having q elements.

Now, consider $p(x) \in \mathbb{F}_q[x]$ with $\deg[p(x)] > 0$.

Definition 20 *The polynomials modulo $p(x)$ over \mathbb{F}_q :*

$$\mathbb{F}_q[x]/(p(x)) \triangleq \{f(x) : \text{s.t. } \deg[f(x)] < \deg[p(x)]\}$$



Now divide:

$$g(x) = Q_g(x) \cdot p(x) + r_g(x)$$

$$h(x) = Q_h(x) \cdot p(x) + r_h(x)$$

Then

- $r_g(x), r_h(x) \in \mathbb{F}_q[x]/(p(x))$.
- If $r_g(x) = r_h(x)$, then we write

$$g(x) \equiv h(x) \pmod{p(x)}$$

even if $g(x) \neq h(x)$.

Theorem 12 $\mathbb{F}_q[x]/(p(x))$ is a ring.

Proof: Test the addition and multiplication axioms mod $p(x)$. \square

Theorem 13 $\mathbb{F}_q[x]/(p(x))$ is a field if and only if $p(x)$ is irreducible.

Proof: Many texts. \square

- Clearly $\mathbb{F}_q[x]$ contains q^m elements where $m = \deg[p(x)]$.
- We call this field, $GF(q^m)$ or F_{q^m} .
- So any *prime polynomial* $p(x)$ can generate a field.

Compare:

- $p(x) \in \mathbb{F}_q[x]$.
- $\mathbb{F}_q[x]/(p(x))$ **is** $\mathbb{F}_{q^m} \equiv GF(q^m)$ for prime $p(x)$.
- \mathbb{F}_{q^m} is an **extension field** of \mathbb{F}_q .
- $\mathbb{F}_q \equiv GF(q)$ is a **subfield** of $\mathbb{F}_{q^m} \equiv GF(q^m)$.

Example: Let $p(x) = x^2 + x + 1$

- $p(x)$ is prime over \mathbb{F}_2 (verify). So,
- $\mathbb{F}_2(x)/(p(x))$ is a field with $2^2 = 4$ elements and
 - “+” and “ \times ” mod $p(x)$
 - Members (polynomials of degree < 2):

$$\begin{array}{cc} 0 & 0 \\ 1 & x \\ x & x^1 \\ x + 1 & x^2 \end{array}$$

Important note: Although elements of nonprime fields are *polynomials*, now that we can write down the + and \times tables, we can use any convenient notation. For example, in $GF(8)$ we can use the symbols $0, 1, \dots, 7$ so long as we don't confuse the field with \mathbb{Z}_8 .

Lemma: The nonzero elements of $GF(q)$ form a *multiplicative group*.

Proof: Obvious □.

- Suppose $1, \beta, \beta^2, \dots \in GF(q)$ where *order* of $\beta = m$.
- Then, $m \mid q - 1$ (from coset decomposition).

Definition 21 An element of $GF(q)$ of order $q - 1$ is a **primitive element** of $GF(q)$ □

Lemma: If α is primitive in $GF(q)$, then $\{1, \alpha, \alpha^2, \dots, \alpha^{q-2}\}$ are all the nonzero elements of $GF(q)$.

Proof: From definition of primitive. □

Theorem 14 Let $\{\beta_1, \beta_2, \dots, \beta_{q-1}\}$ be the non-zero elements of $GF(q)$. Then

$$x^{q-1} - 1 = (x - \beta_1)(x - \beta_2) \cdots (x - \beta_{q-1})$$

Proof:

- For $1 \leq j \leq (q - 1)$ and $\beta_j \in GF(q)$

$$m_j \mid q - 1.$$

Therefore

$$\beta_j^{q-1} = (\beta_j^{m_j})^{\frac{q-1}{m_j}} = (1)^{\frac{q-1}{m_j}} = 1$$

so that β_j is a zero of $x^{q-1} - 1$. □

Theorem 15 $GF(q)$ always contains a primitive element.

Proof:

- The non-zero elements form a cyclic group.
- Therefore, there is an element of order $q - 1$.



Definition 22 A **primitive polynomial** is an irreducible polynomial $p(x)$ of degree m over $GF(q)$ having a primitive element of $GF(q^m)$ as a root.



This definition means that, if:

1. $p(x)$ is irreducible over $GF(q)$,
2. α is primitive in $GF(q^m)$, and
3. $p(\alpha) = 0$,

then,

- $p(x)$ is a primitive polynomial and

$$\alpha^{q^m - 1} = 1.$$

Example of generating a nonprime field

Let

- $p(x) = x^4 + x + 1 \in GF(2)$ be primitive (can verify – How?).
- α be primitive in $GF(2^4)$ and $p(\alpha) = 0$. Then,

$$\alpha^4 + \alpha + 1 = 0 \quad (5)$$

From (5) we can write:

$$\alpha^4 = 1 + \alpha$$

$$\alpha^5 = \alpha + \alpha^2$$

etc. The complete set of powers of α follows.

$$\alpha^0 = 1$$

$$\alpha^1 = \alpha$$

$$\alpha^2 = \alpha^2$$

$$\alpha^3 = \alpha^3$$

$$\alpha^4 = 1 + \alpha$$

$$\alpha^5 = \alpha + \alpha^2$$

$$\alpha^6 = \alpha^2 + \alpha^3$$

$$\alpha^7 = 1 + \alpha + \alpha^3$$

$$\alpha^8 = 1 + \alpha^2$$

$$\alpha^9 = \alpha + \alpha^3$$

$$\alpha^{10} = 1 + \alpha + \alpha^2$$

$$\alpha^{11} = \alpha + \alpha^2 + \alpha^3$$

$$\alpha^{12} = 1 + \alpha + \alpha^2 + \alpha^3$$

$$\alpha^{13} = 1 + \alpha^2 + \alpha^3$$

$$\alpha^{14} = 1 + \alpha^3$$

$$\alpha^{15} = 1$$

Exercise: Generate $GF(2^4)$ using a different primitive polynomial. Do you get the same field?

4.2.3.5 The Structure of $GF(q)$

- We seek to do “arithmetic” in $GF(q)$.

Definition 23 *The characteristic of $GF(q)$ is the number of elements in its smallest subfield.*



Example: The characteristic of $GF(16)$ is 2.

Theorem 16 Every finite field $GF(q)$ contains a unique, smallest subfield that contains a prime number of elements.

Proof:

- Every $GF(q)$ contains 0 and 1.
- Let $G \triangleq \{0, 1, 2, \dots, r-1\}$, where $i = \underbrace{1 + 1 + \dots + 1}_{i \text{ times}}$,
 - So G is a cyclic additive, finite subgroup of $GF(q)$ of order r .
 - Hence, addition in G is modulo r .
 - For $i, j \in G$,

$$\begin{aligned} i \cdot j &= (1 + 1 + \dots + 1) \cdot j \\ &= (j + j + \dots + j). \end{aligned}$$

- Therefore “ \times ” is modulo r as well.

- Since G is
 - cyclic,
 - of order r
 - having modulo r operations “+” and “×”,
- then it is by an earlier proof, a prime field of size r .
- Since it is prime, it has no subfield, and the theorem is proved.



Corollary: *The characteristic of any Galois field is prime.*

Proof: Follows immediately from the previous construction

.

Corollary: *In a field of characteristic p , $(a + b)^p = a^p + b^p$.*

Proof:

$$(a + b)^p = a^p + \binom{p}{1} a^{p-1} b + \binom{p}{2} a^{p-2} b^2 + \dots + \binom{p}{p-1} a b^{p-1} + b^p$$

But

$$\binom{p}{j} = 0 \pmod{p} \quad \forall j,$$

and the lemma is proved. □

Example (continued)

Arithmetic in $GF(2^4)$ is performed in this manner:

- (\times): $\alpha^j \times \alpha^k = \alpha^{j+k} \pmod{2^4-1}$.
- ($+$): From the table,

$$\begin{aligned}\alpha^5 + \alpha^9 &= \alpha + \alpha^2 + \alpha + \alpha^3 \\ &= \alpha^2 + \alpha^3 \\ &= \alpha^6.\end{aligned}$$

More on **Extension Fields**

- Let $GF(q)$ be a subfield of $GF(Q)$ and $\beta \in GF(Q)$. Then,

Definition 24 *The minimal polynomial $m_\beta(x)$ of β over $GF(q)$ is the prime polynomial of smallest degree over $GF(q)$ for which $m_\beta(\beta) = 0$. □*

Theorem 17 *Two-part theorem:*

- I: Every $\beta \in GF(Q)$ has a unique minimal polynomial over $GF(q)$.
- II: If $m(x)$ is the minimal polynomial of β and if $g(\beta) = 0$, then $m(x) | g(x)$.

Proof: See text. □

Corollary: *If $m_1(x), \dots, m_k(x)$ are the minimal polynomials over $GF(q)$ for all the elements of $GF(Q)$, then*

$$x^Q - x = \prod_{i=1}^k m_i(x).$$

Proof: β is always a zero of $x^Q - x$, so this is true by UFT. □

Theorem 18 For any $g(x)$ over $GF(q)$, there exists an extension field $GF(Q)$ in which $g(x) = \prod(x - \beta_i)$.

Proof: See text. □

Definition 25 A **splitting field** of $g(x) \in \mathbb{F}_q[x]$ is any extension $GF(Q)$ of $GF(q)$ in which $g(x)$ factors into linear and constant terms only. □

Theorem 19 *Let α be primitive in $GF(Q)$, an extension of $GF(q)$ and let $\deg[m_\alpha(x)] = m$. Then*

- $Q = q^m$, and
- Any $\beta \in GF(Q)$ can be written as

$$\beta = b_{m-1}\alpha^{m-1} + \dots + b_1\alpha + b_0, \quad b_i \in GF(q).$$

Note: Therefore, $GF(Q)$ is a vector space over $GF(q)$.

Proof: See text.



The following follow directly from the theorem and are computationally useful.

- For every prime number p and positive integer m , there exists a finite field of size p^m .
- In $GF(q)$, $q = p^m$, $(a + b)^q = a^q + b^q$.
- The smallest splitting field of the polynomial $x^{p^m} - x$ has exactly p^m elements.

4.3 Viewing Cyclic codes from Extension Fields - An Example

- For α primitive in $GF(2^3)$, let

$$p(x) = x^3 + x + 1$$

$$p(\alpha) = 0$$

$$H = [\alpha^0, \alpha^1, \alpha^2, \alpha^3, \alpha^4, \alpha^5, \alpha^6].$$

- Expanding powers of α , write H in binary form:

$$H = \begin{bmatrix} 1 & 0 & 0 & 1 & 0 & 1 & 1 \\ 0 & 1 & 0 & 1 & 1 & 1 & 0 \\ 0 & 0 & 1 & 0 & 1 & 1 & 1 \end{bmatrix}$$

i.e., $\alpha^3 = 1 + \alpha$, etc.

- Let \mathbf{H} be check matrix of some binary code \mathcal{C} .
- For $\mathbf{c} \in \mathcal{C}$,

$$\mathbf{c} \cdot \mathbf{H}^T = 0.$$

$$\sum_{i=0}^{n-1} c_i \alpha^i = 0$$
$$c(\alpha) = 0$$

which defines a polynomial $c(x)$ having α as a root.

- Thus we establish the correspondence between *codewords* and *polynomials*
- **Note:** H is the check matrix of the binary, Hamming (7, 4) code.

In general,

- Let \mathbf{H} be $(n - k) \times n$ q -ary matrix *s.t.* $m|(n - k)$.
- Represent the first m rows of \mathbf{H} as a single row of symbols from $GF(q^m)$, $(\beta_{11}, \dots, \beta_{1n})$. Repeat for every set of m rows.

$$\mathbf{H} = \begin{bmatrix} \beta_{11} & \beta_{12} & \cdots & \beta_{1n} \\ \beta_{11} & \beta_{12} & \cdots & \beta_{2n} \\ \vdots & & & \\ \beta_{\rho 1} & \beta_{\rho 2} & \cdots & \beta_{\rho n} \end{bmatrix}$$

where

$$\rho = \frac{n - k}{m}$$

This is not new, merely more *compact*. However,...

- Consider the special case where $B_{ij} = \gamma_i^{j-1}$.
- Then the i^{th} row of \mathbf{H} can be written: $\gamma_i^0, \gamma_i^1, \dots, \gamma_i^{n-1}$, for
 - $i = 1, \dots, \rho$ and
 - $n = q^m - 1$.

$$\mathbf{H} = \begin{bmatrix} \gamma_1^0 & \gamma_1^1 & \cdots & \gamma_1^{n-1} \\ \gamma_2^0 & & & \\ \vdots & & & \\ \gamma_\rho^0 & & & \gamma_\rho^{n-1} \end{bmatrix}$$

- For some $\mathbf{c} \in \mathcal{C}$

$$\mathbf{c}H^T = 0$$

$$\sum_{i=1}^{n-1} c_i \gamma_j^i = 0, \quad j = 1, \dots, \rho$$

- So \mathcal{C} is all $c(x)$ of degree $\leq n - 1$ s.t. $c(\gamma_i) = 0, i = 1, \dots, \rho$
- and \mathbf{H} is the check matrix of the code \mathcal{C} , where

$$\mathcal{C} = \{c(x) \text{ s.t.}, \deg[c(x)] \leq n, c(\gamma_j) = 0, j = 1, \dots, \rho.\}$$

- \Rightarrow But we have not shown that \mathcal{C} is **cyclic**. \Leftarrow

4.4 Cyclic Codes, Formally

4.4.1 Algebraic Description of Cyclic Codes

Definition 26 $\mathbb{F}_q[x] \triangleq$ the ring of polynomials over $GF(q)$.



Definition 27 $\mathbb{F}_q[x]/(x^n - 1) \triangleq$ the ring of polynomials over $GF(q)$ mod $(x^n - 1)$.



Definition 28 A subset I of any ring \mathbf{R} is an ideal if

- it is a subgroup of the additive group of \mathbf{R} , and
- $r \in \mathbf{R}$ and $a \in I \Rightarrow ar \in I$.



Clearly $c(x) \in \mathbb{F}_q[x]/(x^n - 1) \Rightarrow \deg[c(x)] \leq n - 1$

and,

Lemma: $xc(x) \in \mathbb{F}_q[x]/(x^n - 1)$.

Proof: See text. □

So,

- Associate n -tuple $\mathbf{c} \in sC$ with $c(x) \in \mathbb{F}_q[x]/(x^n - 1)$.
- All such codewords \mathbf{c} , then, are **cyclic**.
- $xc(x)$ is the cyclic shift of $c(x)$.

Notation: \mathcal{C} represents both the codewords $\{\mathbf{c}\}$ and the polynomials $\{c(x)\}$.

Theorem 20 \mathcal{C} is a q -ary linear cyclic code of length n if and only if the $\{c(x)\} \in \mathcal{C}$ form an ideal in $\mathbb{F}_q[x]/(x^n - 1)$.

Simply put, a cyclic code of block length n is an ideal in the ring of polynomials modulo $x^n - 1$.

Proof:

Case i (if): Assume 1 and 2 are true. Then \mathcal{C} is:

- closed under $+$.
- closed under mult by any scalar (where $a(x)$ is a “scalar.”)
- therefore, is a subspace, therefore a code.
- If $a(x) = x$, \mathcal{C} is cyclic.

Case ii (only if): Assume \mathcal{C} is a cyclic code. Then it is

- a subspace;
- closed under
 - +
 - multiplication by a scalar, specifically -
 - multiplication by x .
- and, therefore, under multiplication by arbitrary polynomial $a(x)$.



4.4.2 Generating Cyclic Codes

Lemma: *Given an ideal \mathcal{I} of $\mathbb{F}_q[x]/(x^n - 1)$. The non-zero monic polynomial $g(x)$ of smallest degree in \mathcal{I} is unique.*

Proof:

- Let $\deg[g(x)] = r$.
- Select $\alpha \in \mathbb{F}_q$ so that $\alpha g(x)$ is monic. Note that $\alpha g(x) \in \mathcal{I}$.
- Suppose another monic $f(x) \in \mathcal{I}$ with $\deg[f(x)] = r$.
- Then $f(x) - g(x) \in \mathcal{I}$.
- But $\deg[f(x) - g(x)] \leq \deg[g(x)]$.
 - This contradicts our choice of $g(x)$.
- Therefore $g(x)$ is as claimed. □

Definition 29 : *The non-zero polynomial $g(x)$ of smallest degree in ideal \mathcal{I} is called the **generator polynomial** of the ideal.*



Theorem 21 *A cyclic code consists of all multiples of its generator polynomial $g(x)$ by polynomials $a(x)$ of degree $\leq k - 1$.*

Proof:

- If $g(x) \in \mathcal{C}$, then $a(x)g(x) \in \mathcal{C} \forall a(x)$.
- Suppose $c(x) \in \mathcal{C}$, and suppose:

$$c(x) = Q(x)g(x) + s(x).$$

- But $c(x) \in \mathcal{C}$, and $Q(x)g(x) \in \mathcal{C} \Rightarrow s(x) \in \mathcal{C}$. But

$$\deg[s(x)] < \deg[q(x)]$$

Yet $g(x)$ is the polynomial of smallest degree in \mathcal{C} . Hence,
 $s(x) \equiv 0$



Theorem 22 : A cyclic code \mathcal{C} of length n and generator polynomial $g(x)$ exists if and only if $g(x)|(x^n - 1)$.

Proof:

- Suppose $\mathcal{C} = \langle g(x) \rangle$ but

$$x^n - 1 = Q(x)g(x) + s(x), \quad \deg[s(x)] < \deg[g(x)]$$

$$\begin{aligned} R_{x^n-1}(x^n - 1) = 0 &= R_{x^n-1}[Q(x)g(x)] + R_{x^n-1}[s(x)] \\ &= R_{x^n-1}[Q(x)g(x)] + s(x) \end{aligned}$$

- Since $R_{x^n-1}[Q(x)g(x)] \in \mathcal{C}$, then $s(x) \in \mathcal{C}$.
- But: $\deg[s(x)] < \deg[g(x)]$, so $s(x) \equiv 0$ and $g(x)|(x^n - 1)$.
- Conversely, every $g(x)|(x^n - 1)$ can generate a code.



4.4.3 Parity Check Polynomial

Definition 30 : Let $x^n - 1 = g(x)h(x)$. If $g(x)$ generates a code, then we call $h(x)$ the **parity check polynomial** of the code.



Lemma: For every $c(x) \in \mathcal{C}$

$$R_{x^n - 1}[h(x)c(x)] = 0$$

Proof:

- For some $a(x)$

$$h(x)c(x) = h(x)g(x)a(x) = (x^n - 1)a(x)$$



4.4.4 Error Polynomial

- Transmit q -ary codeword $c(x) \in \mathcal{C}$ over noisy channel.
- Receive vector $v(x)$
- Both are in $\mathbb{F}_q[x]/(x^n - 1)$.

Definition 31 : *The error polynomial is the difference $v(x) - c(x)$ between received and transmitted polynomials.*



i.e.,

$$v(x) = c(x) + e(x)$$

This is a model for the class of **additive noise** channels.

Definition 32 : *The information encoded by \mathcal{C} is represented by a polynomial $a(x)$, $\deg[a(x)] \leq k - 1$.*



- $c(x) = a(x)g(x) \pmod{x^n - 1}$
- $\mathcal{C} = \{c(x) = a(x)g(x)\}$ is **not** systematic in (try it!).

Lemma: $c(x)$ belongs to a systematic, cyclic code if

$$c(x) = x^{n-k}a(x) + t(x)$$

where $t(x)$ is chosen so that $c(x) \equiv 0 \pmod{x^n - 1}$.

Proof: Exercise



4.5 Explicit Constructions of Cyclic Codes

- **Objective:** *To find an explicit construction of $g(x)$ for cyclic code of length n .*

Consider the *prime factorization*:

$$\begin{aligned}x^n - 1 &= f_1(x) f_2(x) \cdots f_s(x) \\ &= \prod_{i=1}^s f_i(x).\end{aligned}$$

- Select some factors of $x^n - 1$:

$$g(x) = f_{i_1}(x) \cdot f_{i_2}(x) \cdots f_{i_j}(x), \quad j = 1, 2, \cdots, s.$$

- How many such $g(x)$ can we form?
 - 2^s possibilities;
 - Eliminate choosing no factors.
 - Eliminate choosing all factors.
 - $\Rightarrow 2^s - 2$ possibilities.

4.5.1 Finding a Generator Polynomial $g(x)$

We consider two ways to specify $g(x)$, by its *factors* and by its roots.

$$x^{q^m-1} - 1 = \prod f_i(x) \quad (6)$$

- This **prime factorization** is unique.
- $\beta_j \neq 0 \in GF(q^m)$ is a root of (6).
- And we can *factor* each $f_i(x)$ in $GF(q^m)$:

$$x^{q^m-1} - 1 = \prod_{i=1}^s f_i(x) = \prod_{j=1}^{q^m-1} (x - \beta_j)$$

- Each β_ρ will be a zero of exactly one such polynomial.
- Each $f_i(x)$ is the polynomial of *smallest degree* such that $f_i(\beta_j) = 0$.

Theorem 23 : *A polynomial $c(x)$ is a codeword in a primitive code if and only if all the roots of $g(x)$ are also roots of $c(x)$.*

Proof:

Let $\{\beta_j\}$ be the set of roots of $g(x)$.

- Every codeword $c(x) = a(x)g(x)$. Therefore

$$c(\beta_j) = a(\beta_j)g(\beta_j) = 0.$$

- **Conversely** let $c(\beta_j) = 0$. Divide by $m_{\beta_j}(x)$:

$$c(x) = Q(x)m_{\beta_j}(x) + r(x)$$

$$c(\beta_j) = 0 = Q(\beta_j)m_{\beta_j}(\beta_j) + s(\beta_j)$$

$$s(x) = 0$$

because $\deg[s(x)] < \deg[m_{\beta_j}(x)]$.



Example: Find all binary cyclic codes of length $n = 15$.

$$\begin{aligned}x^{15} - 1 &= (x + 1)(x^2 + x + 1)(x^4 + x + 1) \\ &\quad \cdot (x^4 + x^3 + 1)(x^4 + x^3 + x^2 + x + 1) \\ &= f_1(x)f_2(x)f_3(x)f_4(x)f_5(x)\end{aligned}$$

- There are 5 factors, so $2^5 - 2$ nontrivial binary cyclic codes.

- **Example:**(continued) Let $g(x) = f_4(x)f_5(x)$:

$$g(x) = x^8 + x^4 + x^2 + x + 1$$

- $f_4(x)$ is primitive (verify), so one of its roots α is primitive in $GF(2^4)$.
- Also α^3 is a root of $f_5(x)$ (verify).
- Therefore the roots of $g(x)$ include α, α^3 .
- $\deg[g(x)] = 8 = n - k$, so $k = 7$.
- $w_H[g(x)] = 5$ (see above) so $d_{min} \leq 5$.

- Generally, if we want $g(\beta_i) = 0$, $i = 1, \dots, r$:

- we must find $m_{\beta_1}(x), \dots, m_{\beta_r}(x)$.

- Set

$$g(x) = LCM[m_{\beta_1}(x), \dots, m_{\beta_r}(x)]$$

and $g(x)$ is as desired.

- How do we find m_{β_j} ? (See next Theorem.)

Exercise: If $\deg[m_{\beta}(x)] = h$ and $m_{\beta}(\beta) = 0$ what are the other $h - 1$ other zeros of $m_{\beta}(x)$?

Theorem 24 : If β is an element of $GF(q^m)$ with minimal polynomial $m_\beta(x)$ over $GF(q)$, then $m_\beta(x)$ is also the minimal polynomial of β^q .

Proof: Text. □

Definition 33 : Two elements of $GF(q^m)$ having the same minimal polynomial over $GF(q)$ are said to be **conjugates** with respect to $GF(q)$. □

- So β and β^q are conjugates by the theorem.
- So are $\beta^{q^2}, \dots, \beta^{q^{r-1}}$ where r is the smallest integer such that $\beta^{q^r} = \beta$.
- This leads directly to ...

Theorem 25 $m_\beta(x) = (x - \beta)(x - \beta^2) \cdots (x - \beta^{q^{r-1}})$.

Proof:

- All the conjugates of β are roots.
- Must show that the coefficients of $m_\beta(x)$ lie in $GF(q)$.

$$\begin{aligned}
 [m_\beta(x)]^q &= (x - \beta)^q \cdots (x - \beta^{q^{r-1}})^q \\
 &= (x^q - \beta^q) \cdots (x^q - \beta^{q^r}) \\
 &= (x^q - \beta^q) \cdots (x^q - \beta) \\
 &= m_\beta(x^q) \\
 &= \sum m_{i\beta} x^{iq}
 \end{aligned}$$

But also, by the theorem:

$$[m_\beta(x)]^q = \sum m_{i\beta}^q x^{iq}$$

Therefore $m_{i\beta}^q = m_{i\beta}$.



Summary of foregoing:

- Given a field $GF(q)$, select blocklength n .
- Using primitive element, find minimal polynomial and conjugate roots.
- Add additional roots if needed to obtain desired k .
- Write down $g(x)$.

4.5.2 Non-primitive Cyclic Codes.

Definition 34 For a code over $GF(q)$, a blocklength of the form $n = q^m - 1$ is called a **primitive blocklength**. □

A cyclic code of such length is called a **primitive cyclic code**.

Lemma: n divides $q^m - 1$ for some m . □

Theorem 26 : Given $GF(q)$ and integer n relatively prime to q .
Then there exists an integer m for which

$$(x^n - 1) \mid (x^{q^m - 1} - 1)$$

□

Then $x^n - 1$ has m distinct roots in $GF(q^m)$.

4.5.3 Summary: How to Describe any Cyclic Code

- A cyclic code of (given) length n over $GF(q)$ is generated by $g(x)$ where

$$g(x) \mid (x^n - 1)$$

- To get $g(x)$, select primitive element $\alpha \in GF(q^m)$, where

$$q^m - 1 = nb$$

$$\alpha^{nb} = 1$$

- Determine the minimal polynomial $m_\alpha(x)$ over $GF(q)$.
- Then $m_\alpha(x) \mid g(x)$.
- For lower rate code, find another root, $\hat{\alpha}$ and write

$$g(x) = LCM(m_\alpha(x), m_{\hat{\alpha}}(x)).$$

Note: We can (and will) say more about how to design \mathcal{C} to have given rate or minimum distance.

4.6 Matrix Description of Cyclic Codes

4.6.1 Formal Method

- Let $g(x) \in \mathbb{F}_q[x]$ have zeros γ_i , $i = 1, \dots, r$ in $GF(q^m)$.
- If $c(x)$ is a codeword, $c(\gamma_i) = 0$, $i = 1, \dots, r$, or

$$\sum_{j=0}^{n-1} c_j \gamma_i^j = 0, \quad i = 1, \dots, r.$$

- Since there is \mathbf{H} for which $\mathbf{cH}^T = 0$, this suggests:

$$\mathbf{H}^T = \begin{bmatrix} \gamma_1^0 & \gamma_2^0 & \cdots & \gamma_r^0 \\ \gamma_1^1 & \gamma_2^1 & \cdots & \gamma_r^1 \\ \gamma_1^2 & \gamma_2^2 & \cdots & \gamma_r^2 \\ \cdots & \cdots & \cdots & \cdots \\ \gamma_1^{n-1} & \gamma_2^{n-1} & \cdots & \gamma_r^{n-1} \end{bmatrix}$$

over $GF(q^m)$.

- Can write $\gamma_i^j = (\gamma_{i0}, \gamma_{i1}, \dots, \gamma_{i(n-1)})$, $\gamma_{i\sigma} \in GF(q)$.
- Then replace each element in \mathbf{H} by a *column* m -tuple over $GF(q)$.
- This gives a matrix having dimensions $rm \times n$ over $GF(q)$.
- **Note:** Remove linearly dependent rows.
- This gives \mathbf{H} matrix over $GF(q)$.

This is a cumbersome algorithm.

4.6.2 A Direct Method

- Use the generator $g(x)$:

$$c(x) = a(x)g(x)$$

where,

$$g(x) = \sum_{j=0}^{n-k} g_j x^j$$

$$a(x) = \sum_{i=0}^{k-1} a_i x^i$$

- Consider coefficients of $\mathbf{c} = \mathbf{a}G$:

$$\begin{aligned}
c(x) &= a(x)g(x) = \sum_{i=0}^{k-1} \sum_{j=0}^{n-k} a_i g_j x^{i+j} \\
&= a_0 g_0 + (a_1 g_0 + a_0 g_1)x + \cdots \\
&\quad + (a_{k-2} g_{n-k} + a_{k-1} g_{n-k-1})x^{n-2} + a_{k-1} g_{n-k} x^{n-1}
\end{aligned}$$

$$\mathbf{c} = (a_{k-1} g_{n-k}, (a_{k-2} g_{n-k} + a_{k-1} g_{n-k-1}), \cdots, (a_1 g_0 + a_0 g_1), a_0 g_0),$$

$$(a_0, a_1, \cdots, a_{k-1}) \begin{bmatrix} 0 & 0 & \cdots & g_1 & g_0 \\ 0 & 0 & \cdots & g_0 & 0 \\ \vdots & & & & \\ 0 & g_{n-k} & \cdots & 0 & 0 \\ g_{n-k} & g_{n-k-1} & \cdots & 0 & 0 \end{bmatrix}$$

Or,

$$\mathbf{G} = \begin{bmatrix} 0 & 0 & \cdots & g_1 & g_0 \\ 0 & 0 & \cdots & g_0 & 0 \\ \vdots & & & & \\ 0 & g_{n-k} & \cdots & 0 & 0 \\ g_{n-k} & g_{n-k-1} & \cdots & 0 & 0 \end{bmatrix}$$

- Recall $x^n - 1 = g(x)h(x)$
- For any codeword $c(x)$

$$R_{x^n - 1}[c(x)h(x)] = 0$$

- As above, we can use $h(x)$ to write:

$$\mathbf{H} = \begin{bmatrix} 0 & 0 & 0 & \cdots & h_0 & h_1 & \cdots & h_{k-1} & h_k \\ \cdots & & & & & & & & \cdots \\ 0 & h_0 & h_1 & \cdots & h_{k-1} & h_k & 0 & \cdots & 0 \\ h_0 & h_1 & h_2 & \cdots & 0 & 0 & \cdots & 0 & \end{bmatrix}$$

- To show that $\mathbf{G}\mathbf{H}^T = \mathbf{0}$:

- The product of s^{th} row of \mathbf{G} and t^{th} column of \mathbf{H}^T has the form

$$u_r = \sum_{i=0}^r g_{r-i}h_i = 0, \quad 1 \leq r \leq n-1,$$

- and u_r is the coefficient of x^r in $g(x) \cdot h(x) = x^n - 1$.

- Hence, $u_r = 0$ unless $r = 0$ or n .

Hence, $\mathbf{G}\mathbf{H} = \mathbf{0}$ and \mathbf{H} is the parity check matrix.

4.6.3 The Dual Code

- The check matrix \mathbf{H} of the code generated by \mathbf{G} has the form of a generator matrix for a cyclic code.
- Therefore, the dual of a cyclic code is a cyclic code.
- Examining the order of coefficients in \mathbf{H} shows that the dual code is generated by

$$\tilde{h}(x) = x^k h(x^{-1})$$

STOP