

# Introductory Material

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Mathematical Logic II

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**Def.** An **elementary language**  $\mathcal{L}$  is a set

$$\mathcal{L} = \text{Pred}(\mathcal{L}) \cup \text{Fn}(\mathcal{L}),$$

where

$$\text{Pred}(\mathcal{L}) = \bigcup_{n \in \mathbb{N}} \text{Pred}_{n+1}(\mathcal{L}) \quad \text{and} \quad \text{Fn}(\mathcal{L}) = \bigcup_{n \in \mathbb{N}} \text{Fn}_n(\mathcal{L}),$$

where  $\text{Pred}_{n+1}(\mathcal{L})$  is called the set of  $(n+1)$ -adic **predicate symbols** of  $\mathcal{L}$  and  $\text{Fn}_n$  the set of  $n$ -place **function symbols** of  $\mathcal{L}$ .

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But what does it mean for a variable to be free in a wff?

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- ▶  $FV(\forall x\varphi) = FV(\varphi) \setminus \{x\}$

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- ▶  $\exists!x \varphi(x) := \exists x(\varphi(x) \wedge \forall y(\varphi(y) \rightarrow y = x))$ ,  
where  $y$  is the first variable not in  $\varphi(x)$ , and  $\varphi(y)$  is the result of uniformly substituting  $y$  for  $x$  wherever  $x$  is free in  $\varphi(x)$ .

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**N.B.**  $\text{Str}(\mathcal{L})$  is a proper class.

# Sequences on a Domain of Discourse

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We're used to thinking of a sequence on  $A$  as  $a_0, a_1, \dots, a_i, \dots$ , but this is a listing of the range of  $\phi$ , i.e.,  $\phi(0), \phi(1), \dots, \phi(i), \dots$

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**Def.** Let  $d \in |\mathfrak{A}|$ . Then  $s_{x \mapsto d}$  is the sequence on  $|\mathfrak{A}|$  that assigns  $d$  to  $x$  but otherwise agrees everywhere else with  $s$ , i.e., for any  $y \in V$ :

$$s_{x \mapsto d}(y) = \begin{cases} d & \text{if } y = x \\ s(y) & \text{otherwise.} \end{cases}$$

# Denotations of Arbitrary Terms

A sequence  $s : V \rightarrow |\mathfrak{A}|$  provides for each variable a temporary denotation from the domain of discourse. It can be extended uniquely to a mapping

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- ▶  $\bar{s}(ft_1 \cdots t_n) = f^{\mathfrak{A}}(\bar{s}(t_1), \dots, \bar{s}(t_n))$  for each  $f \in \text{Fn}_n$  and  $t_1, \dots, t_n \in \text{Term}(\mathcal{L})$ ,  $n > 0$ .

# Satisfaction Conditions

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- ▶  $\models_{\mathfrak{A}} \neg \varphi [s]$  iff  $\not\models_{\mathfrak{A}} \varphi [s]$ .
- ▶  $\models_{\mathfrak{A}} (\varphi \rightarrow \psi) [s]$  iff  $\not\models_{\mathfrak{A}} \varphi [s]$  or  $\models_{\mathfrak{A}} \psi [s]$  (or both).

# Satisfaction Conditions

The expression

$$\models_{\mathfrak{A}} \varphi [s]$$

means that  $\mathfrak{A}$  **satisfies** wff  $\varphi$  with/under sequence  $s$ . This is defined recursively:

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- ▶  $\models_{\mathfrak{A}} \forall x \varphi [s]$  iff  $\models_{\mathfrak{A}} \varphi [s_{x \mapsto d}]$  for all  $d \in |\mathfrak{A}|$ .

# Truth in a Structure, Models of Sets of Sentences

**Lemma.** Suppose that sequences  $s$  and  $s'$  agree on all variables free in a wff  $\varphi$ . Then  $\models_{\mathfrak{A}} \varphi [s]$  iff  $\models_{\mathfrak{A}} \varphi [s']$ .

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For any system of derivation  $\mathcal{S}$ :

- ▶ a proof is a finite syntactic object (e.g., sequence of wffs), and
- ▶ there is a decision procedure for determining whether a given syntactic construction is a proof of  $\tau$  from  $\Sigma$ , as long as  $\Sigma$  is decidable, i.e., as long as there is an effective procedure for determining for an arbitrary  $\sigma$  whether  $\sigma \in \Sigma$ .

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**Notation.**  $\text{Cn } \Sigma := \{\tau : \Sigma \models \tau\}$ , i.e.,  $\text{Cn } \Sigma$  is the set of logical consequences of  $\Sigma$ .

# Deductive Soundness and Completeness

**Def.** A system of derivation  $\mathcal{S}$  is **deductively sound** iff for any elementary language  $\mathcal{L}$ , for any wff  $\varphi$  of  $\mathcal{L}$  and any set  $\Gamma$  of sentences of  $\mathcal{L}$ , if  $\Gamma \vdash_{\mathcal{S}} \varphi$ , then  $\Gamma \models \varphi$ .

**Defn.** A system of derivation  $\mathcal{S}$  is **deductively complete** iff for any elementary language  $\mathcal{L}$ , for any wff  $\varphi$  of  $\mathcal{L}$  and any set  $\Gamma$  of sentences of  $\mathcal{L}$ , if  $\Gamma \models \varphi$ , then  $\Gamma \vdash_{\mathcal{S}} \varphi$ .

**Theorem** (Gödel 1930). There exists a sound and complete system of derivation.

**Corollary (Compactness Theorem).**  $\Gamma \models \varphi$  iff there exists a finite  $\Gamma_0 \subseteq \Gamma$  s.t.  $\Gamma_0 \models \varphi$ , or equivalently: a set of wffs is satisfiable iff every finite subset is satisfiable.

# Enumerability Theorem

**Def.** A set  $X$  is **enumerable** iff there exists a function  $f : \mathbb{N} \rightarrow X$  that is onto  $X$ , i.e., there is a sequence which covers all of  $X$ .

**Def.**  $X$  is **effectively enumerable** iff there exists an effective procedure for enumerating  $X$ .

**Def.** An elementary language  $\mathcal{L}$  is “**reasonable**” iff

- (i)  $\mathcal{L}$  is enumerable and
- (ii) both  $\text{Pred}_{n+1}(\mathcal{L})$  and  $\text{Fn}_n(\mathcal{L})$  are decidable for each  $n \in \mathbb{N}$ .

**Enumerability Theorem.** In a reasonable language, if  $\Sigma$  is decidable (or even just effectively enumerable), then  $\text{Cn } \Sigma$  is effectively enumerable.

# Theories

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  - ▶  $\mathcal{K} \subseteq \text{Str}(\mathcal{L})$ , and
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**Notation.**  $\text{Th } \mathfrak{A} := \text{Th } \{\mathfrak{A}\}$ .

# Uncountable Sets

**Cantor's Theorem.** For any set  $X$ , there is no function  $f : X \rightarrow \mathcal{P}(X)$  that is onto, where  $\mathcal{P}(X)$  is the power set of  $X$

**Cor.**  $\mathcal{P}(\mathbb{N})$  is uncountable (non-enumerable).

**Proposition.** There exists a bijection  $f : \mathcal{P}(\mathbb{N}) \rightarrow \mathbb{R}$ .

*Scholium.* By definition, the first infinite cardinal  $\aleph_0$  is the cardinality of  $\mathbb{N}$ .  $\aleph_1$  is the least ordinal of cardinality greater than  $\aleph_0$ . In general,  $\aleph_{\alpha+1}$  is the least ordinal of cardinality greater than  $\aleph_\alpha$ . If  $\lambda$  is a limit ordinal, then  $\aleph_\lambda = \bigcup_{\gamma < \lambda} \aleph_\gamma$ .

**Continuum Hypothesis.** The cardinality of  $\mathcal{P}(\mathbb{N})$  is  $\aleph_1$ .

# Cardinality Theorems for Models

**Def.** A theory  $T$  is **categorical** iff any two models of  $T$  are isomorphic.

**Def.** Let  $\lambda$  be a cardinal. A theory  $T$  is  **$\lambda$ -categorical** iff any two models of  $T$  of cardinality  $\lambda$  are isomorphic. (The cardinality of a structure is the cardinality of its domain of discourse.)

**Lowenheim-Skolem Theorem.** Any satisfiable theory in a countable language has a countable model.

**Lowenheim-Skolem-Tarski Theorem (LST).** If  $\mathcal{L}$  is countable and  $T$  has an infinite model, then  $T$  has a model of all infinite cardinalities.

# Properties of Theories

**Def.** A theory  $T$  is **consistent** iff there is no sentence  $\sigma$  such that both  $\sigma \in T$  and  $\neg\sigma \in T$ .

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Axiomatizable and Complete  $\implies$  Decidable

# Particular Examples

## 1. Theory of Dense Linear Orderings w/o Endpoints

Let  $\mathcal{L}_{\mathcal{R}} = \{R\}$ , where  $R \in \text{Pred}_2(\mathcal{L}_{\mathcal{R}})$ . (Read  $R$  as “is less than”.)  
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Furthermore  $\text{Cn } \Delta = \text{Th } (\mathbb{Q}, <) = \text{Th } (\mathbb{R}, <)$ .

# Łoś–Vaught Test (1954)

How do we know that  $C_n \Delta$  is complete?

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**Łoś–Vaught Test.** Let  $T$  be a theory in a countable language.

If

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Thus,  $C_n \Delta$  is  $\aleph_0$ -categorical, and hence, by the Łoś–Vaught Test, complete.

**Corollary.** The theory of dense linear orderings w/o endpoints is decidable.

# Particular Examples of Theories (cont.)

## 2. The Theory $F_0$ of Algebraic Fields of Characteristic Zero

Let  $\mathcal{L}_F = \{\mathbf{0}, \mathbf{1}, +, \cdot\}$ , where

- ▶  $\mathbf{0}, \mathbf{1} \in \text{Fn}_0(\mathcal{L}_F)$
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- ▶ Multiplication distributes over addition.

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What *is* a result is that  $F_0$  is not *finitely* axiomatizable.

But to show this, we first need a lemma about finite axiomatizability in general.

# Lemma on Finite Axiomatizability

**Lemma.** Suppose  $T$  is finitely axiomatizable and  $\Sigma$  axiomatizes  $T$ . Then there is a finite  $\Sigma_0 \subseteq \Sigma$  s.t.  $\text{Cn } \Sigma_0 = T$ .

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So,

$$\text{Cn } \tau \subseteq \text{Cn } \Sigma_0 \subseteq \text{Cn } \Sigma,$$

and thus

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Therefore  $F_0$  is not finitely axiomatizable. ■

Properties of  $F_0$  (cont.)

$F_0$  is *not complete* since both the real and complex fields are models, and the sentence

$$\exists x (x \cdot x + 1 = 0)$$

is false in the former but true in the latter.

# Particular Examples of Theories (cont.)

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We arrive at the theory  $\overline{F}_0$  of algebraically closed fields of characteristic 0 by adding in all sentences asserting the existence of solutions to all polynomials with arbitrary coefficients, i.e., sentences of the form:

$$\forall a_0 \dots a_n \exists x (a_0 \cdot x^n + \dots + a_n \approx \mathbf{0}),$$

where  $x^n$  is short for  $x \cdot \dots \cdot x$  with  $x$  iterated  $n$  times.

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I.e.,  $\mathfrak{N} = (\mathbb{N}, 0, S, +, \cdot)$  is the standard structure of arithmetic.

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We will get even stronger results, e.g., any “sufficiently strong” axiomatizable subtheory is not only incomplete, but also undecidable.

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This can be seen by the following, simple application of the Compactness Theorem.

First, note that the  $<$  relation on  $\mathbb{N}$  is definable in  $\mathfrak{N}$  by

$$\exists x(x \neq \mathbf{0} \wedge v_0 + x \approx v_1).$$

So, if  $t_1$  and  $t_2$  are terms of  $\mathcal{L}_A$  (not containing the variable  $x$ ), let  $t_1 < t_2$  be a metalinguistic abbreviation for

$$\exists x(x \neq \mathbf{0} \wedge t_1 + x \approx t_2).$$

## Non-Standard Models of Arithmetic (cont.)

Next, introduce some further metalinguistic notation as follows. Let

$$\begin{aligned} \mathbf{S}^0\mathbf{0} &= \mathbf{0} \\ \mathbf{S}^{n+1}\mathbf{0} &= \mathbf{SS}^n\mathbf{0}. \end{aligned}$$

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Finally, add a new constant  $c$  to  $\mathcal{L}_A$  and add to  $\text{Th } \mathfrak{N}$  the sentences:

$$\Theta = \{\mathbf{0} < c, \mathbf{S0} < c, \mathbf{SS0} < c, \dots, \mathbf{S}^n\mathbf{0} < c, \dots\}$$

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Every finite subset  $\Sigma_0$  of  $\Theta \cup \text{Th } \mathfrak{N}$  has a model, viz.,

$$\mathfrak{N}^+ = (\mathbb{N}, 0, c^{\mathfrak{N}^+}, S, +, \cdot),$$

where  $c^{\mathfrak{N}^+}$  is any natural number larger the largest  $n$  s.t.  $\mathbf{S}^n\mathbf{0} < c \in \Sigma_0$ .

## Non-Standard Models of Arithmetic (cont.)

So  $\Theta \cup \text{Th } \mathfrak{N}$  has a model

$$\mathfrak{A} = (|\mathfrak{A}|, 0, c^{\mathfrak{A}}, S^{\mathfrak{A}}, +^{\mathfrak{A}}, \cdot^{\mathfrak{A}}),$$

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Clearly,  $\mathfrak{A}^*$  satisfies  $\text{Th } \mathfrak{N}$ , but  $\mathfrak{A}^* \neq \mathfrak{N}$ .

## Summary of Examples of Theories

Theory	Axiom.?	F.A.?	Complete?	Decidable?
Dense Lin. Ord. w/o Endpts.	Yes	Yes	Yes	Yes
Fields of Characteristic 0	Yes	No	No	
Th $\mathcal{C}$	Yes	No	Yes	Yes
Th $\mathfrak{N}$	No	No	Yes	No
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But, are there any examples of incomplete axiomatizable theories that are decidable?

Yes, inter alia ...

# Some Incomplete but Decidable Theories

## I. Theory I of Identity

$$\mathcal{L}_I = \emptyset$$

$$\mathbf{I} = \text{Cn } \emptyset = \text{Th } \textit{Str}(\mathcal{L}_I)$$

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## II. Theory $\Pi_n$ of $n$ -Many Monadic Properties

$$\mathcal{L}_{\Pi_n} = \{F_1, \dots, F_n\}, \text{ where } F_i \in \text{Pred}_1(\mathcal{L}_{\Pi_n}) \text{ for } 1 \leq i \leq n$$

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## III. Theory $\Phi_1$ of a Single Unary Function

$$\mathcal{L}_{\Phi_1} = \{f\}, \text{ where } f \in \text{Fn}_1(\mathcal{L}_{\Phi_1})$$

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# Some Incomplete but Decidable Theories (cont.)

## IV. Theory **E** of Equivalence Relations

$\mathcal{L}_E = \{R\}$ , where  $R \in \text{Pred}_2(\mathcal{L}_E)$

**E** = Cn  $\tau$ , where  $\tau$  is the conjunction of the following.

$$\forall x Rxx$$

$$\forall x \forall y (Rxy \rightarrow Ryx)$$

$$\forall x \forall y \forall z (Rxy \rightarrow Ryz \rightarrow Rxz)$$

## Some Incomplete but Decidable Theories (cont.)

**V. Theory  $\mathcal{G}_A$  of Abelian Groups.**

$\mathcal{L}_{\mathcal{G}_A} = \{\circ, e\}$ , where  $\circ \in \text{Fn}_2(\mathcal{L}_{\mathcal{G}_A})$  and  $e \in \text{Fn}_0(\mathcal{L}_{\mathcal{G}_A})$

$\mathcal{G}_A = \text{Cn } \gamma$ , where  $\gamma$  is the conjunction of the following.

$$\forall x \forall y \forall z ((x \circ y) \circ z = x \circ (y \circ z))$$

$$\forall x (x \circ e = x = e \circ x)$$

$$\forall x \exists y (x \circ y = e = y \circ x)$$

$$\forall x \forall y (x \circ y = y \circ x)$$

# Some Incomplete but Decidable Theories (cont.)

## VI. The Theory **B** of Boolean Algebras.

$\mathcal{L}_B = \{\wedge, \vee, \neg, 0, 1\}$ , where

- ▶  $\wedge, \vee \in \text{Fn}_2(\mathcal{L}_B)$ ,
- ▶  $\neg \in \text{Fn}_1(\mathcal{L}_B)$ , and
- ▶  $0, 1 \in \text{Fn}_0(\mathcal{L}_B)$ .

**B** = Cn  $\beta$ , where  $\beta$  is the conjunction of the following.

- ▶  $\wedge$  and  $\vee$  are both associative and commutative
- ▶  $\wedge$  and  $\vee$  distribute over one another
- ▶  $\forall x \forall y (x \vee (x \wedge y) = x)$
- ▶  $\forall x \forall y (x \wedge (x \vee y) = x)$
- ▶  $\forall x (x \vee 0 = x)$
- ▶  $\forall x (x \wedge 1 = x)$
- ▶  $\forall x (x \vee \neg x = 1)$
- ▶  $\forall x (x \wedge \neg x = 0)$

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But the existence of the models is secured by working in **ZF** in the meta-language. We can formalize **ZF** and then ask whether **ZF** consistent.

If we assume in the metalanguage also that there exist *inaccessible cardinals*, then we can produce a model for **ZF**. (*Aside*: Intuitively, an inaccessible cardinal is one that has no predecessor nor is the limit of any sequence of smaller cardinals. It strongly inaccessible if it is larger than the power set of any smaller cardinal.) But this invites in turn the question, is the object language theory

**ZF** + 'There exist an inaccessible cardinal.'

consistent?

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The idea is to code in number theory the syntax of  $\mathcal{L}_A$ , including the property of provability from the axioms of **PA**, so that

$$\mathbf{PA} \vdash \text{Prov}_{\mathbf{PA}}(\ulcorner \varphi \urcorner) \text{ iff } \mathbf{PA} \vdash \varphi,$$

where  $\ulcorner \varphi \urcorner$  is the number (“Gödel number”) that codes  $\varphi$ .

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**Gödel’s 2nd Incompleteness Theorem:**

$\mathbf{PA} \vdash \text{Con}_{\mathbf{PA}}$  iff **PA** is inconsistent.