Predictive trajectory estimation during rehabilitative tasks in augmented reality using inertial sensors

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Abstract—This paper presents a wireless kinematic tracking framework used for biomechanical analysis during rehabilitative tasks in augmented and virtual reality. The framework uses low-cost inertial measurement units and exploits the rigid connections of the human skeletal system to provide egocentric position estimates of joints to centimeter accuracy. On-board sensor fusion combines information from three-axis accelerometers, gyroscopes, and magnetometers to provide robust estimates in real-time. Sensor precision and accuracy were validated using the root mean square error of estimated joint angles against ground truth goniometer measurements. The sensor network produced a mean estimate accuracy of 2.81° with 1.06° precision, resulting in a maximum hand tracking error of 7.06 cm. As an application, the network is used to collect kinematic information from an unconstrained object manipulation task in augmented reality, from which dynamic movement primitives are extracted to characterize natural task completion in N = 3 able-bodied human subjects. These primitives are then leveraged for trajectory estimation in both a generalized and a subject-specific scheme resulting in 0.187 cm and 0.161 cm regression accuracy, respectively. Our proposed kinematic tracking network is wireless, accurate, and especially useful for predicting voluntary actuation in virtual and augmented reality applications.

I. INTRODUCTION

In recent years, augmented and virtual reality environments have gained popularity as viable techniques for use in rehabilitation technologies due to their flexibility and ease of deployment. There have been studies exploring the efficacy of such techniques in the space of post-stroke rehabilitation [1], myoelectric prosthesis control [2], and brain-computer interfaces [3]. In such studies, it is often the task to have subjects achieve some kinematic goal (e.g. picking and placing an object) in the virtual space while the dynamics and mechanics of the solution are measured and analyzed. However, a major issue still prevalent in these rehabilitation technologies is the ability to actuate naturally in the virtual world. Common sensors used to capture physical motion and translate it into virtual motion include optical kinematic trackers [4], depth motion cameras [5], and electrogoniometers [6]. While optics-based trackers are considered state-of-the-art due to their high tracking fidelity, they are both expensive and sensitive to movements that result in partial visual occlusion of the target. Additionally, while goniometers are common in classical physical rehabilitation systems, they are limited to single joint measurements and are only practical for static analysis.

In response to some of these limitations, inertial measurement units (IMUs) have become popular actuators in human-machine interfaces that require arm tracking capabilities [8]. An IMU is a microelectromechanical system that reports device orientation through the fusion of linear acceleration, angular velocity, and magnetic field sensor measurements. Multiple computational techniques have been designed to fuse these raw sensor values into representations of device orientation including variations of Kalman filtering and constrained estimation [9], [10].

In this work, we present a wireless kinematic tracking framework that is suitable for rehabilitation tasks in augmented and virtual reality due to its low cost, low weight, and high accuracy. Furthermore, we demonstrate how kinematic information gathered from tasks in augmented space can be used to extract movement primitives useful for individual trajectory modeling.

II. METHODS

The sensor network consists of a single hub with up to eight sensorized nodes in a star topology. Each node in the network
consists of a nRF51822 microcontroller (RedBearLab, Shenzhen, China) with a MPU9250 9-axis IMU (InvenSense, San Jose, CA). Measurements from the accelerometer, gyroscope, and magnetometer are fused using an on-board implementation of the Mahony complementary filter [11] to provide a quaternion-based representation of the device’s orientation relative to the Earth’s magnetic field. These nodal orientation estimates are then transmitted back to the central hub for further processing using the Nordic Enhanced ShockBurst protocol. This proprietary protocol was chosen over traditional standards, such as Bluetooth Low Energy or Wi-Fi, due to its superior transaction latency (0.5 ms) and low power consumption [12].

Before the network can be used for functional arm tracking, subjects must complete a short session calibration routine each time the nodal sensors are donned. The goal of the routine is to measure and negate the orientation offset from the skeletal segment’s center of rotation. To accomplish this, we follow the calibration routine outline in [13]. Using this calibration method, no significant drift in orientation estimates was observed during use (typical session time: 1 hour).

Once calibrated, orientation estimates are aggregated at the central hub and skeletal joint angles and positions can be estimated through quaternion manipulation. For a specific joint \( j \) that lies between devices with orientations \( q_i \) and \( q_{i+1} \), we can compute the angle of \( j \) by computing the relative rotation that maps \( q_i \) to \( q_{i+1} \). Assuming \( q_i \) and \( q_{i+1} \) are both normalized, this rotation can be computed with equation (1), where inversion implies the normalized conjugate quaternion and multiplication implies the Hamilton product [14].

\[
q_j = q_i^{-1} q_{i+1} \tag{1}
\]

Given \( q_j \), the joint angle \( \theta_j \) can then be calculated with equation (2), where \( q_{j,0} \) denotes the scalar part of \( q_j \).

\[
\theta_j = 2 \cos^{-1} q_{j,0} \tag{2}
\]

With this information, three-dimensional joint positions can be estimated using a compounding iterative estimation algorithm (see Algorithm 1). In this procedure, we first compute the relative rotation \( q_j \) for a specific joint. We then rotate a vector \( v_i \) that represents the translation that maps from joint \( i \) to joint \( j + 1 \) when in the calibration configuration. This rotation can be expressed as the following operation:

\[
v'_i = q_j v_i q_j^{-1} \tag{3}
\]

This rotated vector, \( v'_i \), represents the Euclidean position of joint \( j \) relative to joint \( j - 1 \). To obtain this position relative to the global egocentric origin, we simply add this estimate to the position estimate of the previous joint \( j - 1 \).

### TABLE I

**OBJECT MANIPULATION TASKS**

<table>
<thead>
<tr>
<th>Task No.</th>
<th>1</th>
<th>2</th>
<th>3</th>
<th>4</th>
<th>5</th>
<th>6</th>
<th>7</th>
<th>8</th>
</tr>
</thead>
<tbody>
<tr>
<td>Start Config.</td>
<td>3H</td>
<td>3V</td>
<td>4V</td>
<td>4H</td>
<td>4V</td>
<td>2V</td>
<td>2V</td>
<td>2V</td>
</tr>
<tr>
<td>Final Config.</td>
<td>4H</td>
<td>1V</td>
<td>3H</td>
<td>2V</td>
<td>2V</td>
<td>2H</td>
<td>4V</td>
<td>1H</td>
</tr>
</tbody>
</table>

Algorithm 1: Estimate Euclidean position of joints

**Input:** A list of \( N \) device orientations, \( q \)

A list of \( N - 1 \) limb vectors, \( v \)

**Output:** A list of \( N \) joint position estimates, \( p \)

\[ p \leftarrow \{ \emptyset \} \]

for \( i \leftarrow 0 \) to \( N - 1 \) by 1 do

\[ q_j \leftarrow q_i^{-1} q_{i+1} \]

\[ p'_i \leftarrow q_j v_i q_j^{-1} \]

\[ p \leftarrow p \cup (p_i + p'_i) \]

end

The kinematic tracking framework thus far described has been used to characterize motor control in rehabilitation tasks performed in augmented reality using the Microsoft HoloLens (Microsoft Corp., Redmond, WA). \( N = 3 \) able-bodied subjects were asked to complete a series of pick-and-place tasks in augmented reality modeled after the Prosthesis Hand Assessment Measure [7]. Subjects were instructed to manipulate a cylindrical object in augmented space in as natural a manner as possible (see Fig. 1). Tasks are defined by their initial and final positions (1-4) and orientations (horizontal or vertical). Tasks definitions can be found in Table I, however, readers are referred to [15] for further implementation details. Three sensor nodes used for tracking were rigidly affixed to the torso, upper arm, and forearm using Velcro® fasteners. Once collected, the task kinematics were then used in a leave-one-out (LOO) scheme to generate movement models that were then used to predict trial trajectories from unseen data. All experiments were approved by the Johns Hopkins Medicine Institutional Review Board.

### III. RESULTS AND DISCUSSION

#### A. Sensor Validation

Sensor accuracy and precision are validated using a digital goniometer fashioned from a high-precision stepper motor with a 0.9° step size (NEMA, Rosslyn, VA). A sensor node was rigidly affixed to the motor shaft while the motor was driven periodically with 1/8 microstepping. Shaft angle was then estimated independently using equation (2) and compared to the ground truth from the internal encoder.

![Sensor Accuracy](image)

Fig. 2. The angle estimation error over two periods in two sensor configurations: polar and azimuthal. Estimated and true angle values are shown in orange and blue respectively. Sensor accuracy is 3.38° (1.34° precision) and 2.24° (0.77° precision) for the polar and azimuthal configurations.
Accuracy is defined as the root mean square error (RMSE) of the estimated and true shaft angle while precision is defined as the median variance of the estimated shaft angle at corresponding timestamps across periods. This validation was performed with the nodal sensor in both polar (horizontal) and azimuthal (vertical) configurations. For the polar configuration, the sensor showed an accuracy of $3.38^\circ$ with $1.34^\circ$ precision while these values are $2.24^\circ$ and $0.77^\circ$ for the azimuthal configuration. These results can be seen in Fig. 2.

Given a maximum azimuthal angular deviation of $d\theta$ and polar angular deviation of $d\phi$, the maximum translational deviation is the $L_2$-norm of the differential displacement in spherical coordinates [16]:

$$\epsilon = \sqrt{dr^2 + r^2 (d\theta^2 + \sin^2\theta d\phi^2)}$$  \hspace{1cm} (4)$$

If we assume a constant radius of rotation ($dr = 0$) and maximal contribution from the polar deviation ($\sin\theta = 1$), the expression for $\epsilon$ reduces to:

$$\epsilon = \sqrt{r^2 (d\theta^2 + d\phi^2)}$$  \hspace{1cm} (5)$$

For $d\theta = 3.37^\circ$ and $d\phi = 2.24^\circ$, $\epsilon = 7.06$ cm for a 1 m radius of rotation. For a multi-joint rigid body, the overall translational deviation at the end-effector is the linear summation of $\epsilon_j$ at each joint $j$ relative to joint $j - 1$. Therefore, $\epsilon$ serves as a maximum on the hand-tracking displacement error as the average human has an arm length much less than 1 m. While this tracking error is greater than current start-of-the-art optical tracking methodologies, it is comparable to depth-based optical sensors currently widespread in the fields of rehabilitation and robotics. For example, the Microsoft Kinect 2 achieves a mean tracking accuracy of $9.3 \pm 1.56$ cm in standing rehabilitation tasks [17]. In addition to slightly better performance, this system is much more flexible than traditional optical systems because it is not susceptible to movement occlusion or environmental illumination and, therefore, can be used during truly unconstrained motion.

B. Predictive Trajectory Estimation

Unnormalized kinematics were collected for three trials of eight tasks each and from these kinematics, end-effector trajectories were estimated using Algorithm 1. These estimated trajectories are then used to define dynamic movement primitives (DMPs) that characterize the motion for a task. These task-specific DMPs were computed by averaging the time-normalized task trajectories across each trial and applying a smoothing Savitzky-Golay filter with a 5% window length. In this way, each task defines a single DMP to be used for later trajectory prediction.

These task-specific DMPs (see Fig. 3) were used to predict end-effector trajectories in a LOO analysis. This was accomplished by defining the transition function of an unscented Kalman filter as the dynamics of the DMP [18]. To validate the efficacy of such prediction methods, the RMSE between the predicted and actual task trajectories are computed in two schemes. In the first method, DMPs were averaged over all subjects and used to estimate trajectories for all subjects. This yielded an average regression accuracy of $0.187$ cm. However, this method is sensitive to inter-subject variability which can result in very poor regression results. Fig. 4 is an example where the generalized DMP regression performs significantly worse than the average.

In the second method, task DMPs are kept subject-specific and are used to predict only the remaining trial trajectory
not used for DMP construction. Compared to the generalized DMPs, these subject specific models perform better at trajectory prediction with an average accuracy of 0.161 cm. This trend is present in all manipulation tasks, although this is not surprising as each individual constructs a unique motor plan for task completion when unconstrained [19]. On the other hand, regression for Tasks 7 and 8 showed very little performance difference when comparing the generalized and subject-specific methods, 0.60% and 0.22% percent change respectively. This suggests a universality in the motion plan individuals compute for these specific manipulations, however, more subjects are needed to explore this further.

IV. CONCLUSION

In this work, we have presented a kinematic tracking framework that leverages IMUs and rigid body constraints to accurately estimate joint position to the centimeter range. Furthermore, we demonstrate the efficacy of the network through both a maximum error analysis and a functional application: a pick-and-place rehabilitation task in augmented reality. We show that kinematic data gathered from tasks such as these can be used to extract DMPs for predictive trajectory estimation. This system has applications for virtual training and motor analysis of patients suffering from motor disabilities such as stroke, paresis, and limb amputation and future extensions of this work would like to apply these methods of regression on upper-limb amputees in particular. Because the results of the regression analysis suggest that internal models for kinematic task completion are consistent within a subject, we would like to ascertain these primitives’ predictive power when trained on a healthy limb but assessed on the artificial limb of an amputee.

ACKNOWLEDGMENT

The authors would like to thank the human subjects who participated in this study: Infinite Biomedical Technologies; the Applied Physics Laboratory; the National Institutes of Health; and The Johns Hopkins University. This was supported in part by the National Institutes of Health under Grants No. T32EB00338312 and R44HD072668.

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